

## Sensitivity of carbon budgets to permafrost carbon feedbacks and non-CO<sub>2</sub> forcings

This content has been downloaded from IOPscience. Please scroll down to see the full text.

2015 Environ. Res. Lett. 10 125003

(<http://iopscience.iop.org/1748-9326/10/12/125003>)

View [the table of contents for this issue](#), or go to the [journal homepage](#) for more

Download details:

IP Address: 210.77.64.105

This content was downloaded on 13/04/2017 at 07:01

Please note that [terms and conditions apply](#).

You may also be interested in:

[Examination of a climate stabilization pathway via zero-emissions using Earth system models](#)

Daisuke Nohara, J Tsutsui, S Watanabe et al.

[A framework to understand the transient climate response to emissions](#)

Richard G Williams, Philip Goodwin, Vassil M Roussenov et al.

[On the proportionality between global temperature change and cumulative CO2 emissions during periods of net negative CO2 emissions](#)

Kirsten Zickfeld, Andrew H MacDougall and H Damon Matthews

[Assessing the implications of human land-use change for the transient climate response to cumulative carbon emissions](#)

C T Simmons and H D Matthews

[The effectiveness of net negative carbon dioxide emissions in reversing anthropogenic climate change](#)

Katarzyna B Tokarska and Kirsten Zickfeld

[Simulating the Earth system response to negative emissions](#)

C D Jones, P Ciais, S J Davis et al.

[Extending the relationship between global warming and cumulative carbon emissions to multi-millennial timescales](#)

Thomas L Frölicher and David J Paynter

[An investigation into linearity with cumulative emissions of the climate and carbon cycle response in HadCM3LC](#)

S K Liddicoat, B B B Booth and M M Joshi



## CORRIGENDUM

## OPEN ACCESS

RECEIVED  
3 December 2015

ACCEPTED FOR PUBLICATION  
4 December 2015

PUBLISHED  
8 January 2016

Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](#).

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.



# Corrigendum: Sensitivity of carbon budgets to permafrost carbon feedbacks and non-CO<sub>2</sub> forcings (2015 *Environ. Res. Lett.* **10** 125003)

Andrew H MacDougall<sup>1</sup>, Kirsten Zickfeld<sup>2</sup>, Reto Knutti<sup>1</sup> and H Damon Matthews<sup>3</sup>

<sup>1</sup> Institute for Atmospheric and Climate Science, ETH Zurich, Zürich, Switzerland

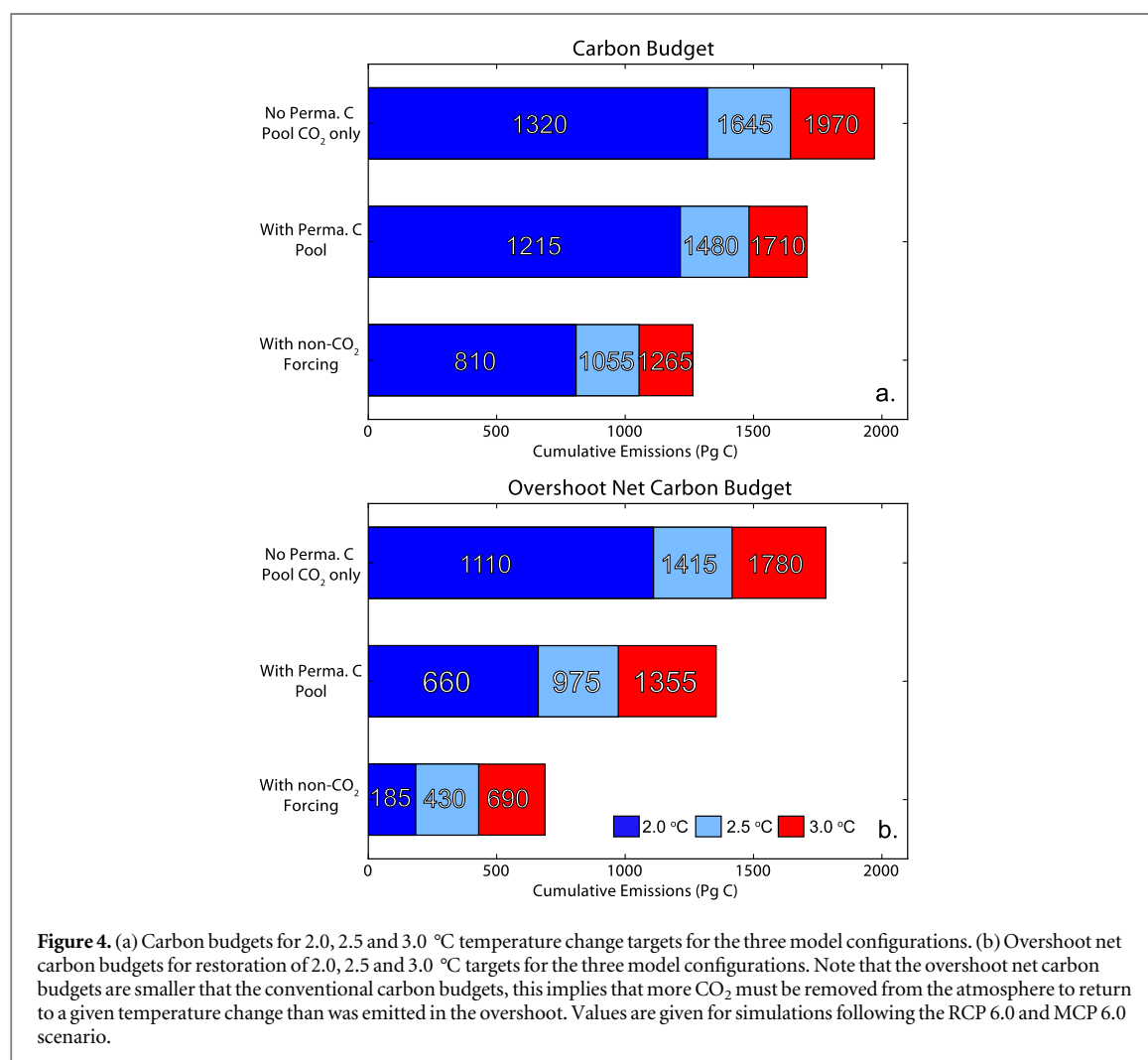
<sup>2</sup> Department of Geography, Simon Fraser University, Vancouver, Canada

<sup>3</sup> Department of Geography, Concordia University, Montreal, Canada

E-mail: [andrew.macdougall@env.ethz.ch](mailto:andrew.macdougall@env.ethz.ch)

**Keywords:** carbon budget, TCRE, climate change

The bar representing the carbon budget for the 2 °C temperature change target for the model configuration with non-CO<sub>2</sub> forcings from figure 4(a) was mislabelled. The bar was mistakenly labelled with the value for RCP 8.5 instead of RCP 6.0. The correct value is 810 Pg C. The corrected figure follows.



## Environmental Research Letters



## LETTER

Sensitivity of carbon budgets to permafrost carbon feedbacks and non-CO<sub>2</sub> forcings

## OPEN ACCESS

RECEIVED  
3 July 2015REVISED  
21 September 2015ACCEPTED FOR PUBLICATION  
30 October 2015PUBLISHED  
25 November 2015

Content from this work  
may be used under the  
terms of the [Creative  
Commons Attribution 3.0  
licence](#).

Any further distribution of  
this work must maintain  
attribution to the  
author(s) and the title of  
the work, journal citation  
and DOI.

Andrew H MacDougall<sup>1</sup>, Kirsten Zickfeld<sup>2</sup>, Reto Knutti<sup>1</sup> and H Damon Matthews<sup>3</sup><sup>1</sup> Institute for Atmospheric and Climate Science, ETH Zurich, Zürich, Switzerland<sup>2</sup> Department of Geography, Simon Fraser University, Vancouver, Canada<sup>3</sup> Department of Geography, Concordia University, Montreal, CanadaE-mail: [andrew.macdougall@env.ethz.ch](mailto:andrew.macdougall@env.ethz.ch)**Keywords:** carbon budget, TCRE, climate changeSupplementary material for this article is available [online](#)

## Abstract

The near proportionality between cumulative CO<sub>2</sub> emissions and change in near surface temperature can be used to define a carbon budget: a finite quantity of carbon that can be burned associated with a chosen 'safe' temperature change threshold. Here we evaluate the sensitivity of this carbon budget to permafrost carbon dynamics and changes in non-CO<sub>2</sub> forcings. The carbon budget for 2.0 °C of warming is reduced from 1320 Pg C when considering only forcing from CO<sub>2</sub> to 810 Pg C when considering permafrost carbon feedbacks as well as other anthropogenic contributions to climate change. We also examined net carbon budgets following an overshoot of and return to a warming target. That is, the net cumulative CO<sub>2</sub> emissions at the point in time a warming target is restored following artificial removal of CO<sub>2</sub> from the atmosphere to cool the climate back to a chosen temperature target. These overshoot net carbon budgets are consistently smaller than the conventional carbon budgets. Overall carbon budgets persist as a robust and simple conceptual framework to relate the principle cause of climate change to the impacts of climate change.

## 1. Introduction

The principle cause of anthropogenic climate warming is the CO<sub>2</sub> produced from the burning of fossil fuels (e.g. [1]). An emergent property of almost all Earth system models (ESMs) is that the change in transient and peak global mean near surface temperature is nearly proportional to cumulative emissions of fossil fuel CO<sub>2</sub>, regardless of the timing of those emissions [2–4]. This property of ESMs implies that there is a finite budget of CO<sub>2</sub> that can be emitted to the atmosphere given a desire to stay below some chosen temperature change threshold [5]. This fixed carbon budget further implies that there is a fixed fraction of fossil fuel reserves that can be exploited without breaching the chosen 'safe' temperature change threshold (e.g. [6, 7]).

The near proportionality between surface temperature change and cumulative emissions of CO<sub>2</sub> has been named the transient climate response to cumulative CO<sub>2</sub> emissions (TCRE) [1, 8, 9]. TCRE appears to arise from compensation between the reduced

radiative forcing per unit mass CO<sub>2</sub> at higher atmospheric CO<sub>2</sub> concentrations, the diminishing efficiency of ocean heat uptake, and the dependence of airborne fraction of CO<sub>2</sub> on the quantity and rate of CO<sub>2</sub> emissions [10, 11]. The conventional method to calculate TCRE is to examine the standard 1% climate model experiment. In this experiment atmospheric CO<sub>2</sub> concentration is prescribed to increase by 1% per year, leading to an exponential rise in CO<sub>2</sub> concentration [4]. The use of this experiment allows for a fair comparison of the magnitude of TCRE between different ESMs without complications from other anthropogenic contributions to climate change [4, 9]. The 1% experiment does not include emission of sulphate aerosols, non-CO<sub>2</sub> greenhouse gases, and land use change (e.g. [9]). All these forcings will affect the actual observed change in temperature at the point in time a given quantity of cumulative CO<sub>2</sub> emissions has been emitted. Therefore, carbon budgets for a chosen warming target must take into account the contributions of non-CO<sub>2</sub> drivers of temperature change. In this manuscript the sensitivity of carbon budgets to

these other contributors to anthropogenic climate change is examined within the representative concentration pathway (RCP) scenario framework. The RCPs give future time-series of land use change, sulphate aerosols, and non-CO<sub>2</sub> greenhouse gases.

Net positive non-CO<sub>2</sub> forcings warm the climate directly and therefore reduce the carbon budget for a given temperature change target. Often neglected (e.g. [12]), however, is that the warming from these forcings will enhance positive carbon cycle feedbacks while failing to induce negative carbon cycle feedbacks generated by higher atmospheric CO<sub>2</sub> concentration (e.g. [13]). Therefore non-CO<sub>2</sub> forcings will also reduce the carbon budget indirectly. The experiments conducted here are able to partition the direct and indirect effect of non-CO<sub>2</sub> forcings on the carbon budget.

In addition to non-CO<sub>2</sub> forcings carbon cycle processes not yet incorporated into modern ESMs will affect the real-world carbon budget. Due to limited resources and lack of sufficient scientific understanding not all known carbon cycle processes are represented in all ESMs. As understanding of these processes improves and more computational resources become available more processes are added to the models (e.g. [14, 15]). One process that has attracted attention in the past decade is the large pool of carbon held in permafrost soils (e.g. [16, 17]). Here the effect of the permafrost carbon pool on the carbon budget will be evaluated. The permafrost carbon feedback is unlikely to be the last unresolved process to affect the carbon budget, and the budget for a chosen target will likely have to be adjusted as understanding of the Earth system improves in the future.

The permafrost carbon pool is a large reservoir of carbon held in perennially frozen soils and the seasonally thawed soils above the permafrost table [17, 18]. These soils are estimated to contain 1100–1500 Pg C ([18]). This pool of carbon is expected to become vulnerable to decay as the arctic region warms and permafrost soils thaw due to anthropogenic climate change (e.g. [16]). The permafrost carbon pool has been incorporated into a number of offline and intermediate complexity land surface and climate models (see [19] for a recent review), however the effect of the feedback on carbon budgets has to our knowledge not yet been estimated.

Finally, an extension of the concept of the carbon budget is the idea of an overshoot net carbon budget. That is, the net cumulative CO<sub>2</sub> emissions at the point in time a temperature target is restored following artificial removal of CO<sub>2</sub> from the atmosphere to cool the climate back to a chosen temperature target. The idea of an overshoot net carbon budgets is a consequence of proposals that suggest if a 'safe' temperature change threshold were exceeded, mass deployment of CO<sub>2</sub> removal technology could be used in principle to bring cumulative CO<sub>2</sub> emissions back in line with the carbon budget (e.g. [20]). Examining temperature targets

with and without an overshoot addresses an ambiguity in the definition of temperature change thresholds. That is, whether a temperature target is a threshold not to be exceeded, or is a long-term goal that allows for some overshoot. Computing the overshoot net carbon budget allows for the examination of any differences in carbon budgets between the two interpretations of temperature change thresholds. Here, we will use a set of restoration scenarios based on the RCPs to examine these overshoot net carbon budgets.

## 2. Methods

### 2.1. Model description

We conducted model experiments using the frozen ground version of the UVic ESCM, a climate model of intermediate complexity. The model contains a full three-dimensional ocean general circulation model coupled to an energy and moisture balance atmosphere [21], land surface scheme [22], and thermodynamic-dynamic sea ice model [21]. The frozen ground version of the model includes full freeze-thaw physics, a multi-layer soil model extending to 250 m depth and hydrology in the top 10 m of soil [23, 24]. The model includes both a terrestrial and oceanic carbon cycle. The terrestrial carbon cycle is represented by the top-down representation of foliage and flora including dynamics (Triffid) dynamic vegetation model [25, 26]. The inorganic ocean carbon cycle is simulated following the protocols of the ocean carbon-cycle intercomparison project [27]. Ocean biology is simulated using a nutrient-phytoplankton-zooplankton-detritus ecosystem model [14]. The permafrost carbon pool is added to soil by prescribing a uniform permafrost carbon density to soil layers that were perennially frozen in a transient run between years 850 to 1899 [15].

The UVic ESCM can be forced with emissions of CO<sub>2</sub> or changes in CO<sub>2</sub> concentration, non-CO<sub>2</sub> greenhouse gases, sulphate aerosols, volcanic forcing, land use change and changes in solar output [21]. Non-CO<sub>2</sub> greenhouse gas concentration pathways are preprocessed into radiative forcing using the equations presented in table 6.2 of [28] and imposed as an anomaly at the top of the atmosphere. Sulphate aerosols are imposed as monthly global maps of sulphate optical depth. Land use change is prescribed by assigning a fraction of each grid cell that can only be occupied by the C3 and C4 grass plant function types.

The version of the UVic ESCM used here redirects carbon removed from the vegetation carbon pool into the soil carbon pool during land use change, and does not account for harvesting of biomass from agricultural lands. Therefore, the model does not capture anthropogenic CO<sub>2</sub> emissions from land use change.

## 2.2. Experiment design

The UVic ESCM is set up in three model configurations to examine the effect of the permafrost carbon pool and additional anthropogenic contributions to climate change on the carbon budget. The three configurations form a chain from a setup forced with only changes in prescribed atmospheric CO<sub>2</sub> concentration and with the permafrost carbon module turned off, to a setup with all of the standard RCP forcing and with the permafrost module turned on. This range of configurations spans the classical setup used to diagnose CO<sub>2</sub> only carbon budgets and TCRE [4, 5], to the setup that is the model's estimate of a carbon budget compatible with a given scenario (e.g. [9]). The configurations are: (1) forced only with prescribed changes in atmospheric CO<sub>2</sub>, with the permafrost carbon module turned off, and with anthropogenic land use held at 1850 extent; (2) the same as 1 except with the permafrost module turned on; and (3) with the permafrost carbon module turned on and with all standard RCP forcing including non-CO<sub>2</sub> greenhouse gases, sulphate aerosols, volcanic eruptions, changes in solar forcing and land use.

The scenarios used to diagnose the positive carbon budget are the RCP scenarios used in the fifth assessment report of the intergovernmental panel on climate change (IPCC AR5) [29]. Cumulative fossil fuel CO<sub>2</sub> emissions are diagnosed as the residual to the carbon cycle given the scenario prescribed atmospheric CO<sub>2</sub> concentration. The carbon budget is diagnosed for 2.0 °C, 2.5 °C and 3.0 °C of warming relative to the pre-industrial, defined here as 1850, climate for every RCP scenario that breaches the temperature threshold.

The carbon budgets computed here are for total anthropogenic CO<sub>2</sub> emissions. The biogeochemical effect of fossil fuel and land use change emissions has been shown to be identical ([30]) and therefore we expect the carbon budgets to be insensitive to the partitioning of land use change versus fossil fuel emissions.

Overshoot net carbon budgets are diagnosed from the mirrored concentration pathways (MCPs) introduced by [31]. These scenarios are idealized such that the return to preindustrial forcing follows a path mirrored to that of the original rise in atmospheric CO<sub>2</sub>. The mirrored reduction in atmospheric CO<sub>2</sub> begins after the year of peak CO<sub>2</sub>. Changes in land use are also mirrored back to the extent present in 1850. Sulphate aerosol forcing (which is closely coupled to fossil fuel emissions [32]) is assumed to be zero during the removal phase of CO<sub>2</sub>. Non-CO<sub>2</sub> greenhouse gas forcing is reduced linearly from its magnitude at the time of peak CO<sub>2</sub> to the restoration of pre-industrial CO<sub>2</sub>. A linear reduction was chosen instead of a mirrored path for these forcings as in the lower three scenarios (RCP 2.6, 4.5, and 6.0) non-CO<sub>2</sub> greenhouse gas forcing peaks before atmospheric CO<sub>2</sub> peaks. Figure 1 shows the forcing time series for each MCP. The overshoot

net carbon budgets are computed from the remnant anthropogenic carbon in the oceanic, atmospheric, and terrestrial carbon pool at the point in time when the system cools back to the chosen temperature target. The cumulative negative emissions required to restore the temperature target is the sum of the magnitude of the carbon budget overshoot and the difference between the positive carbon budget and the overshoot net carbon budget.

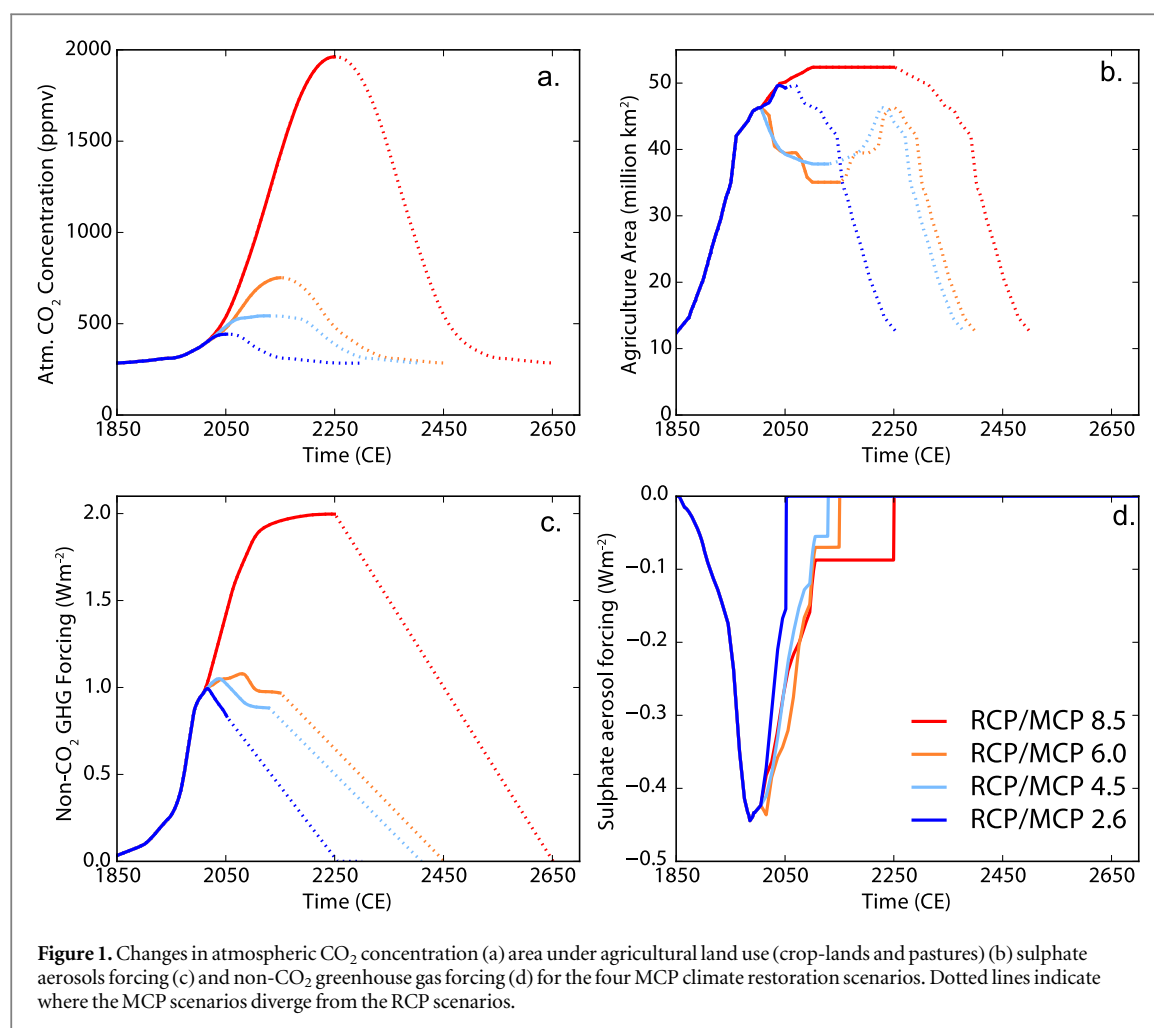
The MCPs are intended as an idealized framework to explore the consequences of negative emissions on the Earth system [31], and do not explicitly account for the significant technological or economic challenges involved in atmospheric CO<sub>2</sub> removal (e.g. [20]). A decision to restore atmospheric composition to a pre-industrial state following the abolition of fossil fuel use has broad philosophical, ethical, and social dimensions (e.g. [20]) that would have to be addressed by future societies.

## 3. Results and discussion

### 3.1. Cumulative emissions versus temperature

Carbon budgets are closely linked to the concept of TCRE, as this metric eliminates ambiguity created by having an infinite number of emissions pathways to reach a given temperature change threshold (e.g. [5, 33]). Figure 2 shows the cumulative emissions versus temperature curves for a selection of the experiments conducted here. Consistent with previous work the cumulative emission versus temperature curves are nearly independent of the rate of emissions for increasing cumulative emissions. Panel (b) of the figure shows the progression of the curves for RCP 6.0 as the permafrost carbon pool, and non-CO<sub>2</sub> forcings are added to the simulations [2, 4]. Turning on the permafrost carbon module does not significantly change the overall amount of warming but does steepen the cumulative emissions versus temperature curves. As atmospheric CO<sub>2</sub> concentrations are prescribed in these simulations the allowable emissions are affected by turning on the permafrost carbon module but warming at a given time is not, because CO<sub>2</sub> and resultant forcing are unchanged. Under the RCP scenarios adding non-CO<sub>2</sub> forcing increases the temperature change in every year and also reduces the cumulative emissions in every year (figure 3). These simulations are consistent with the interpretation that the difference between the full RCP cumulative emissions versus temperature curves and the 1% experiment cumulative emissions versus temperature curves in the CMIP5 simulations is the inclusion of non-CO<sub>2</sub> radiative forcing [34]. What has been less appreciated (and is likely model dependent) is that the inclusion of non-CO<sub>2</sub> radiative forcing exacerbates positive climate-carbon cycle feedbacks, which also reduce the cumulative CO<sub>2</sub> emissions compatible with a given temperature change threshold. This is illustrated by





the steeper angle of the temperature versus cumulative emissions curve for the model configuration with all RCP forcing shown in figure 2(b).

The lower panels of figure 2 show the cumulative emissions versus temperature curves for the MCP simulations. The figures show that for scenarios that stay below 2000 Pg C of cumulative emissions, cooling is close to proportional to cumulative negative emissions except near the transition between positive and negative emissions. Adding the other contributing factors to climate change widens the offset between the positive and negative trajectories (figure 2(d)).

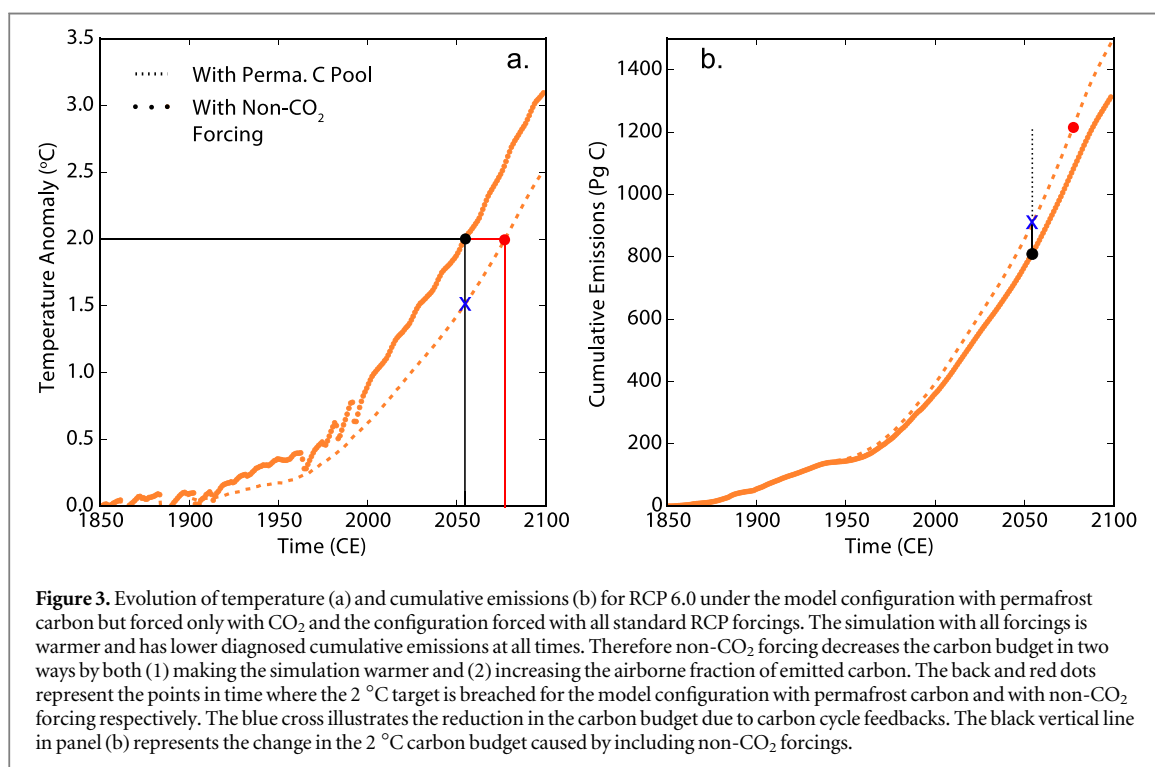
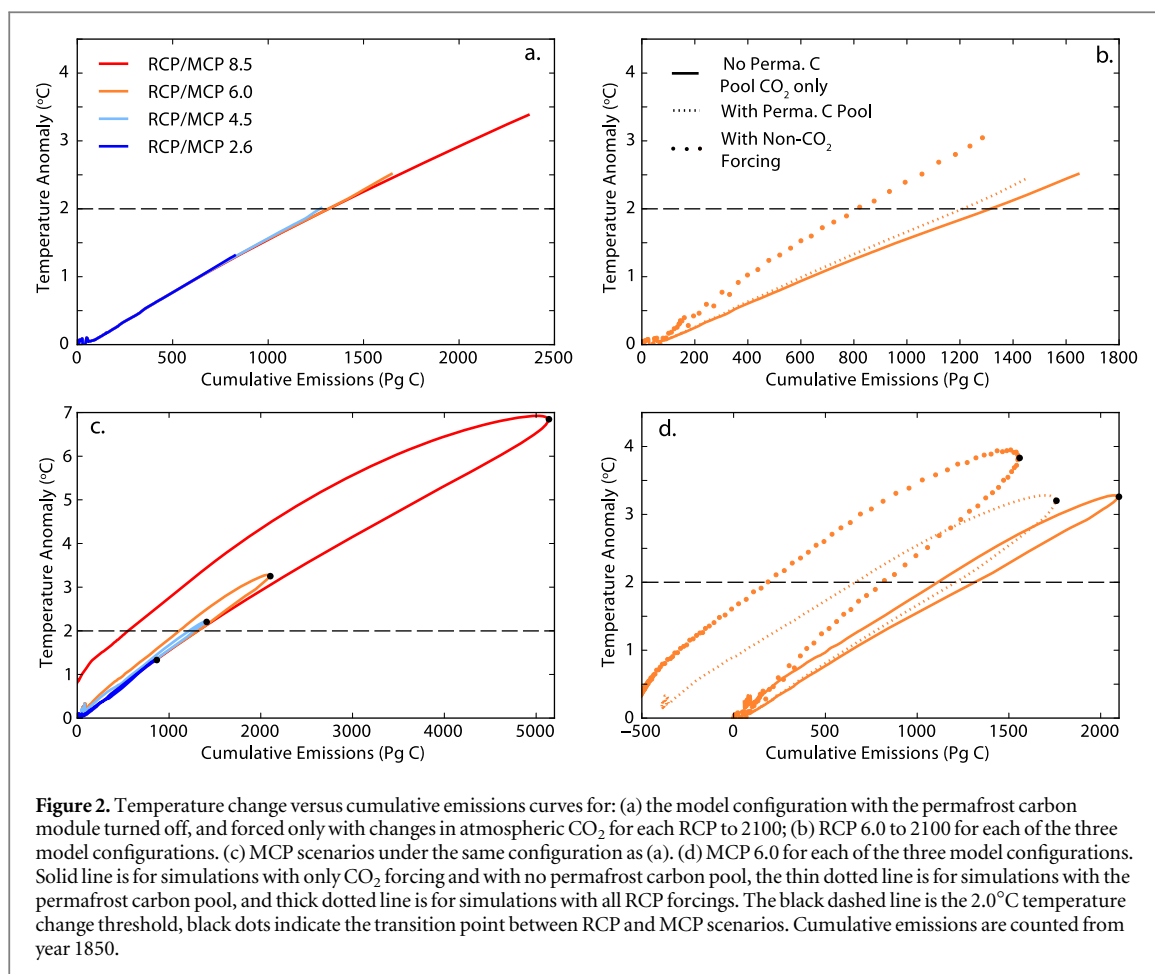
### 3.2. Sensitivity of the carbon budget

Carbon budgets for 2.0 °C, 2.5 °C, and 3.0 °C temperature change thresholds for each RCP and each model configuration are shown table 1, and for each model configuration under RCP 6.0 in figure 4. Moving along the chain of model configurations reduces the carbon budgets for each for each temperature threshold. Turning on the permafrost carbon pool module reduced the carbon budget under RCP 6.0 by 105 Pg C for the 2.0 °C target, 160 Pg C for the 2.5 °C target, and 260 Pg C for the 3.0 °C target. Forcing the model with non-CO<sub>2</sub> forcings further reduces the carbon budget under RCP 6.0 by 420 Pg C for the 2.0 K target,

440 Pg C for the 2.5 °C target, and 435 Pg C for the 3.0 °C target. The reduction in carbon budget for each model configuration, temperature target and RCP are given in table 1.

If the change in temperature were exactly proportional to cumulative CO<sub>2</sub> emissions, then under CO<sub>2</sub> only forcing the carbon budget would be identical following each RCP path. One can see from table 1 that this is not precisely the case, and that there are small variations in the carbon budget following the different RCP CO<sub>2</sub> pathways. From figures 2(a) and (c), one can see that the RCP 4.5 cumulative emissions versus temperature curve is trending above the curves for RCPs 6.0 and 8.5 near the 2.0 °C threshold of warming. This reflects a small dependency of the TCRE on the rate of CO<sub>2</sub> emissions, whereby higher annual emissions are associated with more unrealized warming in this model [35, 36]. Consequently, the TCRE for RCP 4.5 in particular, increases slightly towards the end of the simulation as emissions decrease and CO<sub>2</sub> concentrations stabilize. This in turn has the effect of decreasing the carbon budget at the point that 2.0 °C is reached in this simulation relative to RCP 6.0 and RCP 8.5.

Turning on the permafrost carbon module reduces the carbon budget by different magnitudes



depending on the RCP followed. This effect is likely related to the time-lag involved in the permafrost carbon feedback whereby the response to warming is

delayed by the time taken for soils to thaw and for thawed soil carbon to decay (e.g. [15]). The slower the rate of warming the longer the permafrost carbon has

**Table 1.** Carbon budgets for 2.0 °C, 2.5 °C and 3.0 °C of warming under three of the RCPs. There is no model configuration where RCP 2.6 breaches the 2.0 °C warming threshold, therefore this scenario is not shown. All values are in Pg of carbon (Pg C). Change in carbon budget between model configurations under the same RCP are shown in parenthesis.

	No perma. C pool only CO <sub>2</sub> forcing	With perma. C pool	With non-CO <sub>2</sub> forcings
<i>Carbon budget</i> 2.0 °C			
RCP 4.5	1270	1120 (−150)	805 (−315)
RCP 6.0	1320	1215 (−105)	810 (−405)
RCP 8.5	1340	1255 (−85)	770 (−485)
<i>Carbon budget</i> 2.5 °C			
RCP 4.5	—	—	945
RCP 6.0	1645	1480 (−160)	1055 (−430)
RCP 8.5	1700	1575 (−125)	970 (−605)
<i>Carbon budget</i> 3.0 °C			
RCP 4.5	—	—	—
RCP 6.0	1970	1710 (−260)	1265 (−445)
RCP 8.5	2080	1905 (−175)	1190 (−715)

been exposed to climate warming at the time the temperature change threshold is reached (e.g. [15]). This alteration of the proportionality between cumulative emissions and temperature change is consistent with the understanding of TCRE as an ocean-atmosphere generated phenomenon that becomes more dependent on the rate of CO<sub>2</sub> emissions when strong terrestrial carbon cycle feedbacks are present [11]. Notably the UVic ESCM has a permafrost carbon feedback on the high end of the inter-model range as shown in the recent review paper of [19].

The inclusion of non-CO<sub>2</sub> forcings reduces the carbon budget by over 400 Pg C consistently for each temperature target following RCP 6.0, but with large variation depending on the RCP followed owing to different scenarios of non-CO<sub>2</sub> emissions. In addition to reducing the carbon budget by warming the climate directly, adding non-CO<sub>2</sub> forcings further reduces the carbon budget by enhancing positive carbon cycle feedbacks. The contribution from these feedbacks can be quantified by examining the diagnosed cumulative CO<sub>2</sub> emissions for a configuration forced only by changes in atmospheric CO<sub>2</sub> at the point in time where the configuration with all RCP forcings reaches a chosen temperature target. For example under RCP 6.0 with all forcing the 2.0 °C threshold is breached in 2054 CE with a carbon budget of 810 Pg C. Forced only with CO<sub>2</sub> cumulative emissions are 900 Pg C in 2054 CE under RCP 6.0. Therefore by turning on the non-CO<sub>2</sub> forcings the cumulative emissions have been reduced by 90 Pg C, accounting for 22% of the

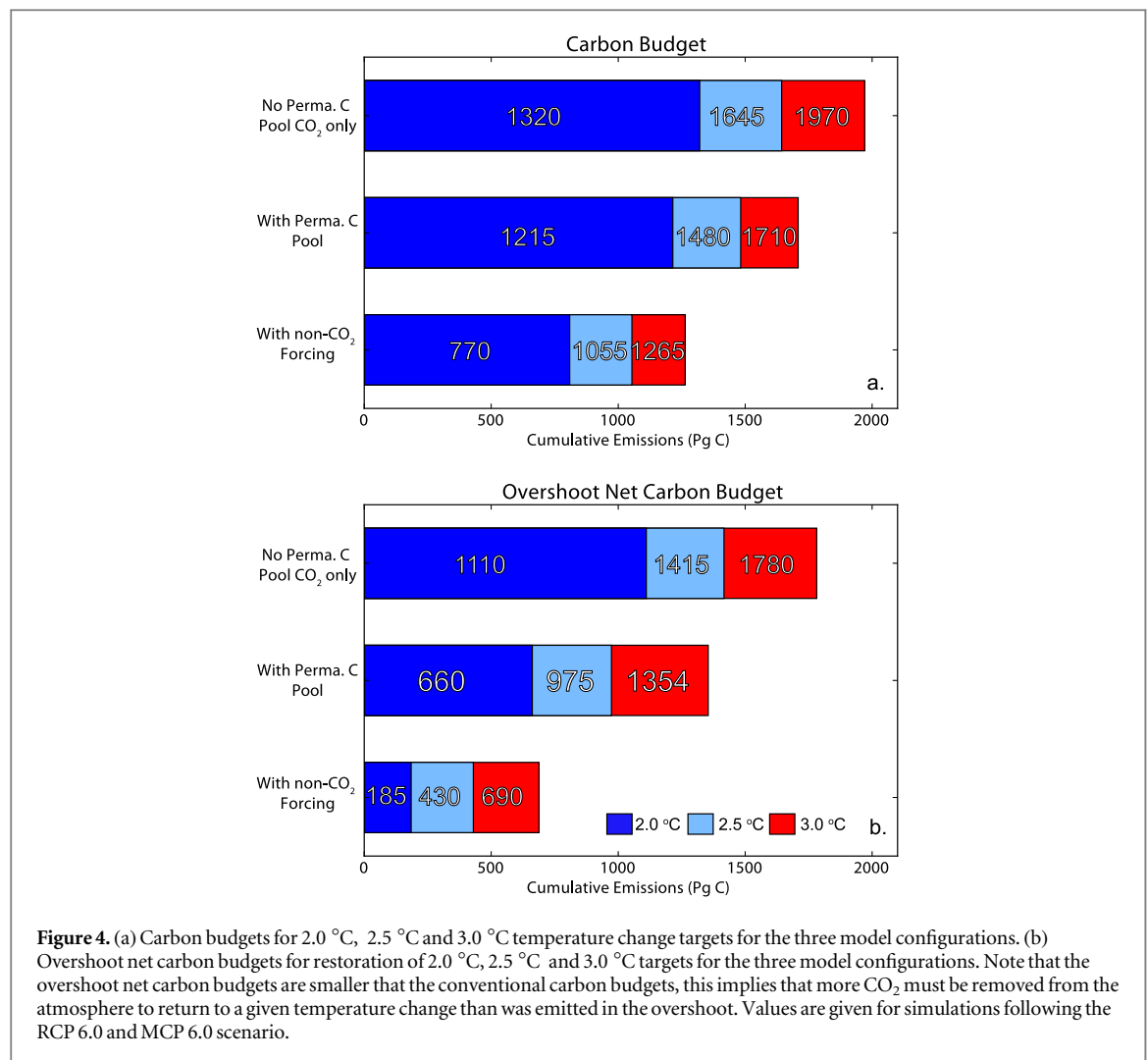
reduction in the carbon budget from turning on non-CO<sub>2</sub> forcings (see figure 3 for illustration). Table 2 shows the fraction of the reduction in the carbon budgets attributable to the enhancement of carbon cycle feedbacks from turning on non-CO<sub>2</sub> forcings for each RCP and temperature target. This attributable fraction varies between 22% and 42% depending on the scenario and temperature target. Figure 5 shows that adding non-CO<sub>2</sub> forcings affects carbon cycle feedbacks by reducing the uptake of carbon by the ocean and enhancing the rate of soil respiration of carbon.

Recent studies have demonstrated that given limited resources and a choice between mitigation of CO<sub>2</sub> emissions and mitigation of short-lived forcing agents, it is always optimal to mitigate CO<sub>2</sub> emissions [12, 37, 38]. This conclusion follows from studies showing that warming from CO<sub>2</sub> is expected to last many thousands of years (e.g. [39]), whilst the warming from short-lived forcing agents dissipates more quickly after emissions of these agents cease. Not explicitly quantified by such analysis is that non-CO<sub>2</sub> forcings also alter the efficiency of carbon cycle feedbacks, which here have been shown to account for 22%–42% of the reduction in carbon budget from non-CO<sub>2</sub> forcings following the non-CO<sub>2</sub> forcing trajectories of the RCP scenarios. Evaluating the effect of this interaction term on optimal mitigation strategy is an opportunity for future research.

### 3.3. Overshoot net carbon budgets

Overshoot net carbon budgets for each MCP, for temperature targets of 2.0 °C, 2.5 °C and 3.0 °C, and for the three model configurations are shown in table 3. Figure 4 displays the overshoot net carbon budgets following MCP 6.0 for the three temperature targets, and the three model configurations. In general the overshoot net carbon budgets are smaller than the positive carbon budgets, with the magnitude of the difference varying strongly with scenario and temperature target (tables 1 and 3). The difference grows larger for lower temperature targets and higher scenarios. Unlike the positive carbon budgets which are all within 200 Pg C for each scenario for a given model configuration, consistent with the concept of TCRE, the overshoot budgets are highly contingent on scenario followed. The hysteresis curves shown in figure 2(c) suggest that the path dependence of the overshoot carbon budgets is a consequence of the nonlinear relationship between carbon removal and temperature change during the transition between positive and negative emissions. Also contributing to the path dependence is the difference in the slopes of the upward and downward parts of the trajectory, where the curves are near-linear. Zickfeld *et al* attributes this difference in slope to inertia in ocean heat and carbon uptake [40]. The difference between positive and overshoot carbon budgets is shown in figures 2(c) and (d) by comparing the cumulative





**Table 2.** Fraction of the reduction in the carbon budget from including non-CO<sub>2</sub> forcings attributable to enhancement positive carbon cycle feedbacks. Values are given for 2.0 °C, 2.5 °C and 3.0 °C temperature change thresholds.

	2.0 °C	2.5 °C	3.0 °C
RCP 4.5	33 %	—	—
RCP 6.0	22 %	28 %	35 %
RCP 8.5	30 %	38 %	42 %

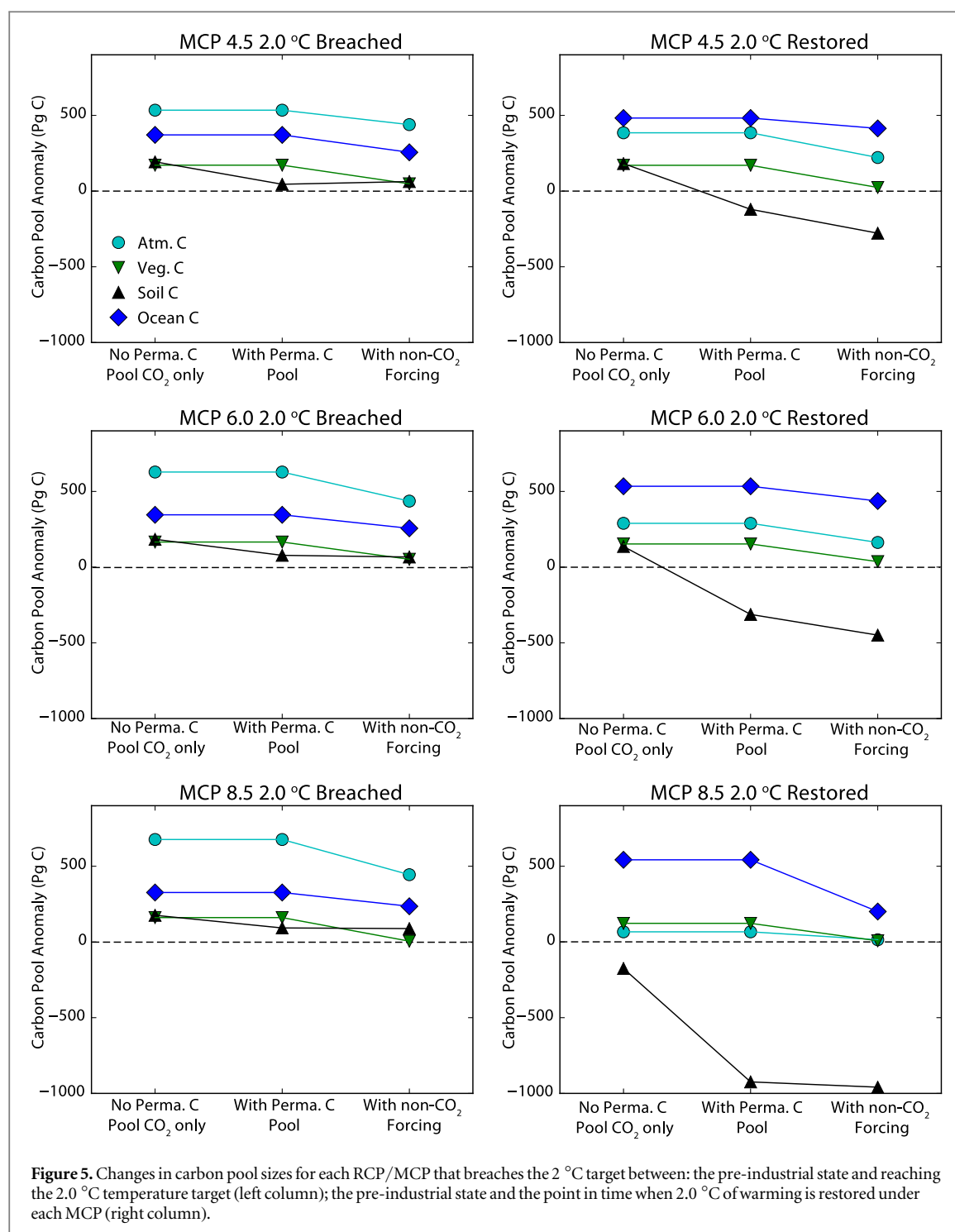
emissions at the point where the upward and downward limbs of the hysteresis curves cross the 2 °C line.

Figure 5 shows the carbon pool anomalies when the 2 °C target is breached and restored for each model configuration and for each MCP that breaches that target. All of the model configurations and scenarios show that more carbon is held in the oceans than the atmosphere when change in global temperature is returned to 2 °C. This is the opposite of what is shown at the time when the 2 °C target is breached. That is, the atmospheric concentration of CO<sub>2</sub> is lower when one returns to the 2 °C target than the concentration was when the target was breached. This is consistent with ocean thermal inertia resisting change in climate

(e.g. [31, 39]). This result also implies that the ocean's ability to assimilate CO<sub>2</sub> counteracts the thermal inertia effect. For example under MCP 8.5 atmospheric CO<sub>2</sub> concentration has nearly returned to its pre-industrial concentration when 2 °C of warming is restored (290 ppm), while the ocean maintains over 500 Pg C in excess carbon in the model configurations with only CO<sub>2</sub> forcing, and over 200 Pg C in the model configuration with all standard MCP forcings.

The inclusion of the permafrost carbon module alters the carbon balance, with carbon budgets needing to accommodate the release of carbon from permafrost soils in both the positive emission and carbon removal phases of the MCPs. This can be seen in figure 5. This has a dramatic effect in the case of MCP 8.5 where the overshoot carbon budget is negative for all of the temperature targets in the model configuration with non-CO<sub>2</sub> forcings and for the 2 °C target under the model configuration with only CO<sub>2</sub> forcing.

Our simulations suggest that if the carbon budget for a given climate target is exceeded, more carbon must be removed from the atmosphere than the magnitude of the overshoot, if a return to the target is desired. To extend the financial analogy that carbon



budgets are conceptualized from [5] if we exceed the carbon budget and go into debt we must pay back the debt with interest.

### 3.4. Caveats

The results presented here are from only a single intermediate complexity Earth system model and therefore should be interpreted with appropriate caution. Similar experiments with different ESMs would be needed to confirm our results. As the simulations have been performed only with the standard model parameter values we are unable to

establish uncertainty bounds on these results. However, we suggest that the general features of the results captured here are of considerable interest in addition to the carbon budget values calculated by this particular model.

The version of the UVic ESCM used here does not realistically simulate the release of carbon from the land surface due to anthropogenic land use change. A better representation of these effects would partition the carbon budget between a fossil fuel budget and land use change emission budget and likely also alter the behavior of the terrestrial carbon sink.

**Table 3.** Overshoot net carbon budgets for restoration of 2.0 °C, 2.5 °C and 3.0 °C targets following overshoot of the target. Values are giving for all MCPs that breach the target and for the three model configurations. All values are in Pg of carbon (Pg C).

	No perma. C pool only CO <sub>2</sub> forcing	With perma. C pool	With non-CO <sub>2</sub> forcings
<i>Anthropogenic carbon at restoration of 2.0 °C</i>			
MCP 4.5	1220	915	380
MCP 6.0	1110	660	185
MCP 8.5	555	−195	−740
<i>Anthropogenic carbon at restoration of 2.5 °C</i>			
MCP 4.5	—	—	680
MCP 6.0	1415	975	430
MCP 8.5	855	105	−550
<i>Anthropogenic carbon at restoration of 3.0 °C</i>			
MCP 4.5	—	—	—
MCP 6.0	1780	1355	690
MCP 8.5	1150	400	−310

## 4. Conclusions

Carbon budgets relate the primary cause of anthropogenic climate warming (cumulative CO<sub>2</sub> emissions) to a chosen ‘safe’ threshold of warming (e.g. [5]). To build from the theoretical basis of carbon budgets (the proportionality between climate warming and cumulative CO<sub>2</sub> emissions) to a real-world application of such a carbon budget requires taking into account the other contributing factors to anthropogenic climate change. In addition, one must account for the permafrost carbon pool which has yet to be included in most ESMs. Here we have examined the sensitivity of carbon budgets to these factors by sequentially turning on the permafrost carbon pool, and non-CO<sub>2</sub> forcings to construct three configurations of the UVic ESCM. The largest reduction in the carbon budget is created by the addition of non-CO<sub>2</sub> forcings, which reduced the budget by between 315 Pg C to 485 Pg C for the 2.0 K temperature change target, depending of the RCP trajectory followed. 22% of this reduction in the carbon budget is attributable to enhanced carbon cycle feedbacks induced by non-CO<sub>2</sub> forcings. The permafrost carbon pool has a smaller effect reducing the budget for the 2.0 °C target by 106 Pg C when following RCP 6.0.

This experimental framework was also used to investigate the concept of an overshoot net carbon budget associated with reducing global temperatures back to a designated ‘safe’ temperature change target following an overshoot of such a target. The overshoot net carbon budgets are in general found to be smaller than the corresponding conventional carbon budget. The magnitude of this difference is larger given larger and longer overshoots of the carbon budget and is highly contingent on the emissions path followed. Under the most extreme scenario considered (the model configuration with non-CO<sub>2</sub> forcings under MCP 8.5) returning to 2 °C of warming requires a

return to a late 19th century CO<sub>2</sub> concentration (290 ppm) and removal of 740 Pg C more CO<sub>2</sub> than has originally been emitted to the atmosphere. Overall carbon budgets persist as a robust and simple conceptual framework to relate the principle cause of climate change to the impacts of climate change.

## Acknowledgments

K Zickfeld and H D Matthews acknowledge support from the National Science and Engineering Research Council of Canada (NSERC) Discovery Grant Program. We thank two anonymous reviewers for their constructive comments.

## References

- [1] Stocker T *et al* 2013 Technical summary *Working Group I Contribution to the Intergovernmental Panel on Climate Change Fifth Assessment Report Climate Change 2013: The Physical Science Basis* ed T F Stocker *et al* (Cambridge: Cambridge University Press)
- [2] Matthews H D, Gillett N P, Stott P A and Zickfeld K 2009 *Nature* **459** 829–32
- [3] Allen M R, Frame D J, Huntingford C, Jones C D, Lowe J A, Meinshausen M and Meinshausen N 2009 *Nature* **458** 1163–6
- [4] Gillett N P, Arora V K, Matthews D and Allen M R 2013 *J. Clim.* **26** 6844–58
- [5] Zickfeld K, Eby M, Matthews H D and Weaver A J 2009 *Proc. Natl Acad. Sci. USA* **106** 16129–34
- [6] Raupach M R, Davis S J, Peters G P, Andrew R M, Canadell J G, Ciais P, Friedlingstein P, Jotzo F, van Vuuren D P and Le Quéré C 2014 *Nat. Clim. Change* **4** 873–9
- [7] McGlade C and Ekins P 2015 *Nature* **517** 187–90
- [8] Gregory J M, Jones C D, Cadule P and Friedlingstein P 2009 *J. Clim.* **22** 5232–50
- [9] Collins M *et al* 2013 Long-term climate change: projections, commitments and irreversibility *Working Group I Contribution to the Intergovernmental Panel on Climate Change Fifth Assessment Report Climate Change 2013: The Physical Science Basis* (Cambridge: Cambridge University Press)
- [10] Goodwin P, Williams R G and Ridgwell A 2015 *Nat. Geosci.* **8** 29–34
- [11] MacDougall A H and Friedlingstein P 2015 *J. Clim.* **28** 4217–30

- [12] Pierrehumbert R 2014 *Annu. Rev. Earth Planet. Sci.* **42** 341
- [13] MacDougall A H, Eby M and Weaver A J 2013 *J. Clim.* **26** 9563–76
- [14] Schmittner A, Oschlies A, Matthews H D and Galbraith E D 2008 *Glob. Biogeochemical Cycles* **22** GB1013
- [15] MacDougall A H, Avis C A and Weaver A J 2012 *Nat. Geosci.* **5** 719–21
- [16] Schuur E A G *et al* 2008 *BioScience* **58** 701–14
- [17] Tarnocai C, Canadell J G, Schuur E A G, Kuhry P, Mazhitova G and Zimov S 2009 *Glob. Biogeochem. Cycles* **23** GB2023
- [18] Hugelius G *et al* 2014 *Biogeosciences* **11** 6573–93
- [19] Schuur E *et al* 2015 *Nature* **520** 171–9
- [20] National Academy of Science 2015 Carbon dioxide removal and reliable sequestration *Climate Intervention* (Washington, DC: National Academy Press)
- [21] Weaver A J *et al* 2001 *Atmos.–Ocean* **39** 1–67
- [22] Meissner K J, Weaver A J, Matthews H D and Cox P M 2003 *Clim. Dyn.* **21** 515–37
- [23] Avis C A, Weaver A J and Meissner K J 2011 *Nat. Geosci.* **4** 444–8
- [24] Avis C A 2012 Simulating the present-day and future distribution of permafrost in the UVic Earth system climate model *PhD Thesis* University of Victoria
- [25] Cox P M, Betts R A, Jones C D, Spall S A and Totterdell I J 2001 *Proc. RMS Millennium Conf.*
- [26] Matthews H D, Weaver A J, Meissner K J, Gillett N P and Eby M 2004 *Clim. Dyn.* **22** 461–79
- [27] Orr J, Najjar R, Sabine C L and Joos F 1999 Abiotic-HOWTO *Internal OCMIP Report* LSCE/CEA Saclay, Gif-sur-Yvette, France, p 29
- [28] Houghton J *et al* 2001 *Climate Change 2001: The Scientific Basis* vol 881 (Cambridge: Cambridge University Press)
- [29] Moss R H *et al* 2010 *Nature* **463** 747–54
- [30] Simmons C and Matthews H D 2015 *Environ. Res. Lett.* submitted
- [31] MacDougall A H 2013 *Geophys. Res. Lett.* **40** 5480–5
- [32] Ciais P *et al* 2013 Carbon and other biogeochemical cycles *Working Group I Contribution to the Intergovernmental Panel on Climate Change Fifth Assessment Report Climate Change 2013: The Physical Science Basis* ed T F Stocker *et al* (Cambridge: Cambridge University Press)
- [33] Knutti R and Rogelj J 2015 *Clim. Change* (doi:10.1007/s10584-015-1340-3)
- [34] IPCC 2013 *Working Group I Contribution to the Intergovernmental Panel on Climate Change Fifth Assessment Report Climate Change 2013: The Physical Science Basis* ed L Alexander *et al* (Cambridge: Cambridge University Press)
- [35] Leduc M, Matthews H D and de Elia R 2015 *J. Clim.* at press (doi:10.1175/JCLI-D-14-00500.1)
- [36] Herrington T and Zickfeld K 2014 *Earth Syst. Dyn.* **5** 409–22
- [37] Smith S J and Mizrahi A 2013 *Proc. Natl Acad. Sci. USA* **110** 14202–6
- [38] Rogelj J, Schaeffer M, Meinshausen M, Shindell D T, Hare W, Klimont Z, Velders G J, Amann M and Schellnhuber H J 2014 *Proc. Natl Acad. Sci. USA* **111** 16325–30
- [39] Eby M, Zickfeld K, Montenegro A, Archer D, Meissner K J and Weaver A J 2009 *J. Clim.* **22** 2501–11
- [40] Zickfeld K, MacDougall A H and Matthews H D 2015 *Environ. Res. Lett.* submitted