

RESEARCH ARTICLE

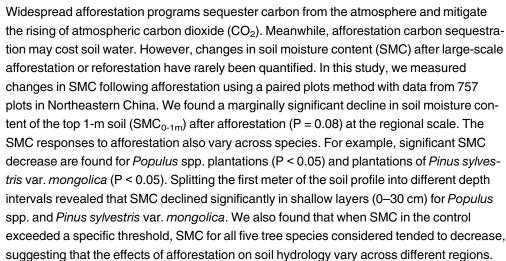
# The Effect of Afforestation on Soil Moisture Content in Northeastern China

Yitong Yao, Xuhui Wang, Zhenzhong Zeng, Yongwen Liu, Shushi Peng, Zaichun Zhu, Shilong Piao\*

Sino-French Institute for Earth System Science, College of Urban and Environmental Sciences, Peking University, Beijing 100871, China

\* slpiao@pku.edu.cn









Citation: Yao Y, Wang X, Zeng Z, Liu Y, Peng S, Zhu Z, et al. (2016) The Effect of Afforestation on Soil Moisture Content in Northeastern China. PLoS ONE 11(8): e0160776. doi:10.1371/journal.pone.0160776

**Editor:** RunGuo Zang, Chinese Academy of Forestry, CHINA

Received: April 9, 2016

Accepted: July 25, 2016

Published: August 11, 2016

Copyright: © 2016 Yao et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Data Availability Statement:** All relevant data are within the paper and its Supporting Information files.

**Funding:** This study was supported by the National Natural Science Foundation of China (41561134016 and 41530528). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing Interests:** The authors have declared that no competing interests exist.

# Introduction

Afforestation and reforestation programs provide important mechanisms to enhance carbon sequestration capacity of the terrestrial ecosystem [1–3] and mitigate the increase of greenhouse gas emission. Such programs have been widely implemented in many regions, including America [4], South America [5], and China [6]. China, currently the largest carbon emitter of the world, is also known for containing more than 25% of the world's planted forests [7]. Important afforestation projects in China include the Three-North Protective Forest Program, the River Protection Forest Program, and the Grain for Green Program [8]. Largely due to this afforestation effort, China's forest coverage increased from 16.0% in the 1980s to 20.4% in 2009 [9], and forest carbon sequestration was about 1.65 Pg C during the same period, with a mean rate of 0.075 Pg C yr<sup>-1</sup> [3].

However, afforestation does not come without any environmental cost, which is especially evident in arid and semi-arid regions  $[\underline{10}-\underline{11}]$ . One of the most striking issues associated with



afforestation lies in its influence on hydrological cycles and modification of water resource balance [12–13]. In general, afforestation increases canopy interception and transpiration loss of water, and hence reduces river and stream runoff and water yield [14–15]. A global analysis of 504 annual catchment observations showed that afforestation dramatically decreased stream flow within a few years of forest planting [16]. In China's Loess Plateau where afforestation programs like the Grain for Green Project have transformed 3.84 million hectares of farmland to forest plantations by the 2008 [17], which also bring out negative eco-hydrological effects like aggravated local water scarcity, even soil desiccation [10].

Soil moisture content (SMC) is one of the most important parameters determining the productivity and sustainability of terrestrial ecosystems [10,18,19]. Vegetation cover influences soil moisture through interception, transpiration and surface shading [20]. Because forest tends to have larger leaf area, surface roughness and deeper rooting system than grassland or cropland, vegetation restoration from cropland or grassland to forest could usually result in drastically reduced SMC restored [13], sometimes even to an extent of more than 35% [21], so that irrigation sometimes was performed to improve water availability [22]. Quantitative analyses of the influence on SMC by afforestation have been conducted in some scattered locations or regions [23–25], such as the Loess Plateau. However, these scattered results cannot be extrapolated to other forest plantations as such influence is highly heterogeneous in quantity across different regions [26].

Northeastern China is a key region for China's Three-North Protective Forest Program, designed to hold back the <u>expansion</u> of the <u>Gobi Desert</u>. In this study, using pairwise sampling of 757 afforested and control plots, we first quantify the effect of large-scale afforestation on SMC across Northeastern China. Because traits like canopy structure and stomatal properties could vary across different tree species, the capacity of plantation forests in modifying hydrological cycle is also different across different forest types. Thus a second objective of this study is to compare the change of SMC across five tree species widely used in afforestation in Northeastern China. Finally, afforestation-caused changes in SMC could also be heterogeneous between shallow and deep soil layers [21]. Using soil profile data, we also demonstrate the differences of SMC and its change along soil depths. Overall, the results will provide a comprehensive understanding on how different choices of afforestation tree species may influence soil moisture across horizontal and vertical space, which is critical information for assessing the ongoing afforestation programs and optimizing the design of future ones.

### **Materials and Methods**

### Study Area

The sampling sites are located in Northeastern China (34.20–51.80°N, 106.81–133.31°E) covering the provinces of Heilongjiang, Jilin, Liaoning, Hebei, Shanxi, Shaanxi and the Inner Mongolia Autonomous Region (Fig 1). Over the study area, mean annual temperature ranges from -3 to 15°C, and the mean annual precipitation ranges from 355 to 1068 mm yr<sup>-1</sup>. Most of the sites are located in state-owned forest plantation farms and afforestation projects have been performed in a systematic way and well documented [27]. The administrative body of all involved forest farms gave permission for our research activities. The detailed information of forest farms are showed in S1 Table. Soil samples were obtained from plantation stands of five most commonly planted tree species (species groups): *Pinus koraiensis*, *Larix gmelinii*, *Pinus sylvestris* var. *mongolica*, *Pinus tabulaeformis* and *Populus* spp.

### Measurements

Gravimetric soil moisture measurements were made during the summers of 2012 and 2013 at a total of 172 field sites spreading across the study region (Fig 1). Each site contains three tree



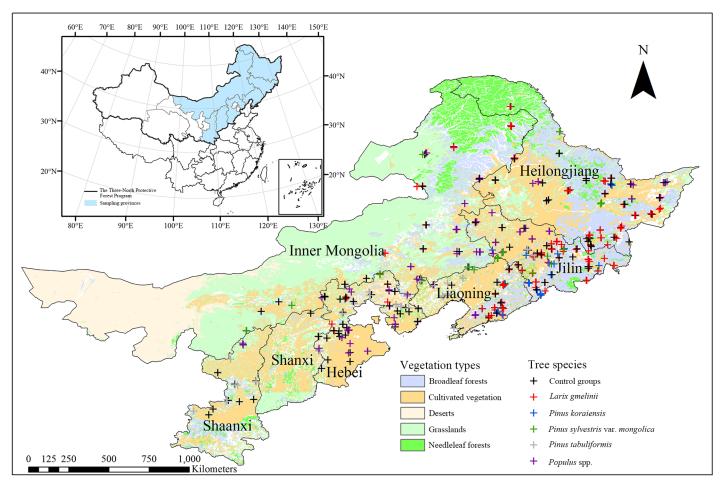


Fig 1. Map of the study area. The locations of sampling sites surveyed during 2012–2013 are marked with "+" symbols. The texts displayed refer to the name of provincial administrative unit, which are Inner Mongolia Autonomous Region, Shaanxi Province, Shanxi Province, Hebei Province, Liaoning Province, Jilin Province and Heilongjiang Province, respectively. Land cover map was adapted from the vegetation distribution map of China [28].

plantation plots of different ages and one pre-afforestation control plot. The plantation plots are from stands with common local species planted; and the control plots represent initial soil conditions before afforestation. At each sampling site, environmental attributes such as land-use type, aspect, slope degree, elevation, tree species and tree height were recorded. To ensure the consistent soil origin and climate, the distance between any two plantation plots within the same site is always between 50 m and 5 km, and the distance between each paired plantation-control plots is less than 2.5 km. At each plot, three soil pits were dug with a spade and soil samples were taken from depth of 0–5 cm, 5–10 cm, 10–20 cm, 20–30 cm, 30–60 cm, 60–100 cm using a standard 100-cm<sup>3</sup> container. In total, 757 plots were sampled and 11,794 soil samples were collected. The soil samples were handled following standard procedures. Plant detritus were removed before weighing, and soils were sieved through a 2 mm mesh. All samples were weighed in the field, and then weighed again in the laboratory after drying at 105°C till constant weight.

### Data analysis

We denote the 0-5 cm, 5-10 cm, 10-20 cm, 20-30 cm, 30-60 cm, 60-100 cm depth intervals as  $d_1, d_2...d_6$  respectively, SMC (mass of soil water relative to dry-weight, %) of these depths as SMC<sub>1</sub>, SMC<sub>2</sub>...SMC<sub>6</sub>, and top 1-m SMC as SMC<sub>0-1m</sub>.



Soil moisture contents are calculated as:

$$SMC_{j} = \frac{(fresh \ weight - dry \ weight)}{dry \ weight} \times 100 \ \% \qquad (j = 1, 2..6)$$
 (1)

The thickness-weighted method is used to calculate the  $SMC_{0-1m}$  (%), which is given by the equation

$$SMC_{0-1m} = \frac{\sum_{i=1}^{3} \sum_{j=1}^{6} SMC_{ij} \times w_{ij}}{\sum_{i=1}^{3} \sum_{j=1}^{6} w_{ij}}$$
(2)

where SMC<sub>ij</sub> is SMC of the j<sup>th</sup> layer in the i<sup>th</sup> profile,  $w_{ij}$  is the weighting factor of the j<sup>th</sup> layer in the i<sup>th</sup> profile. Both SMC<sub>a,0-1m</sub> and SMC<sub>c,0-1m</sub> are calculated with Eq.(2). Here the denotations a and c represent afforested and control plots, respectively.  $\Delta$ SMC<sub>0-1m</sub> refers to the difference between SMC<sub>a,0-1m</sub> and SMC<sub>c,0-1m</sub>.  $\Delta$ SMC<sub>j</sub> refers to the difference between SMC<sub>a,j</sub> and SMC<sub>c,j</sub> (j = 1, 2, 3 . . . 6).

The mean and standard deviation (std) of change in top 1-m soil moisture content ( $\Delta SMC_{0-1m}$ ) in each site are listed in <u>S2 Table</u>.

#### Statistical methods

We performed Jarque-Bera test [29] and Brown-Forsythe's test [30] on the normality and homogeneity of variance, respectively. As it turns out the sample does not conform to normal distribution (P < 0.01), but met the variance homogeneity assumption (P > 0.05). So we use the non-parametric test in this study. A one-tailed paired Wilcoxon signed rank test was performed to detect whether SMC in afforested plots significantly decreased compared to SMC in control plots. The same tests were also performed on SMC of the six depth intervals. The relationship between the changes in top 1-m SMC after afforestation ( $\Delta$ SMC<sub>0-1m</sub>) and SMC of top 1-m in control plots (SMC<sub>c,0-1m</sub>) was fitted using cubic polynomial. A one-tailed Mann Whitney U test was used to compare tree height differences between plots that SMCc<sub>c,0-1m</sub> are above and below the threshold are significantly higher than zero. Linear mixed model was fitted to evaluate tree height effects on  $\Delta$ SMC<sub>0-1m</sub> within each of the five tree species. Tree species was treated as fixed effects, while tree heights was treated as random effects. All of the comparisons were examined at a significance level of 0.05.

### Results

# Afforestation-induced change in SMC<sub>0-1m</sub> across the study area

Fig 2a shows the box-plot of soil moisture content of top 1-m (SMC<sub>0-1m</sub>) in control and afforested plots. Soil moisture in control plots (SMC<sub>c,0-1m</sub>) has a wide range from 2.5% to 43.3% (Fig 2a), mostly due to a spatial gradient in SMC with the highest values in the northeast and lower values in the southwest. SMC<sub>c,0-1m</sub> is mostly less than 20% in provinces of Hebei, Shanxi, Shaanxi, and Inner Mongolia, while it is relatively higher (>20%) in Heilongjiang, Jilin, and Liaoning (Fig 2b). On average, afforestation leads to marginally significant decrease in SMC<sub>0-1m</sub> (P = 0.08), and the median of ΔSMC<sub>0-1m</sub> is about -0.3%.  $\Delta$ SMC<sub>0-1m</sub> varies widely from -28.9% to 34.9% across different afforested plots. 52% of the afforested sites had a negative  $\Delta$ SMC<sub>0-1m</sub> (Fig 3a), mostly in Heilongjiang, Jilin, and Liaoning Provinces (Fig 3b) where SMC<sub>c,0-1m</sub> is relatively high (Fig 2b).



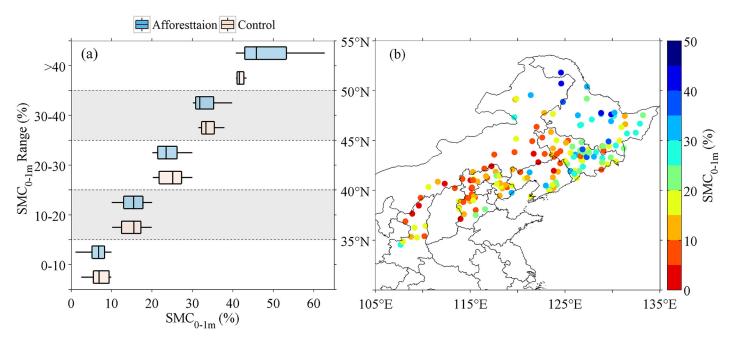


Fig 2. Moisture content of top 1-m soils (SMC<sub>0-1m</sub>). (a) Box-plots of SMC<sub>0-1m</sub> in control and afforested plots. (b) Spatial distribution of SMC<sub>0-1m</sub> in control plots. In box plots, the whiskers and boxes indicate the minimum,  $25^{th}$  percentile, median,  $75^{th}$  percentile and maximum.

To further understand how pre-afforestation soil moisture content may affect afforestation-caused changes in SMC, we binned all the 586 afforested plots into 20 groups by their values of  $SMC_{c,0-1m}$  (Fig 4). The results suggest that at wet places where  $SMC_{c,0-1m}$  is larger than 25%, mean  $\Delta SMC_{0-1m}$  is always negative. In relatively dry zones ( $SMC_{c,0-1m}$  is between 2% and 24%), both positive and negative values of  $\Delta SMC_{0-1m}$  are found, with positive values more dominant (Fig 4).

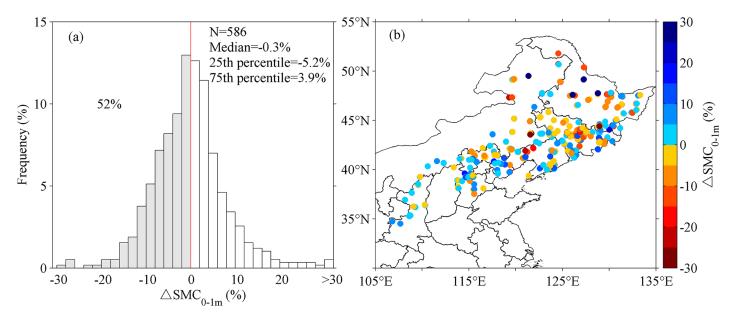


Fig 3. Changes of top 1-m soil moisture content ( $\Delta$ SMC<sub>0-1m</sub>) in afforested plots (N = 586). (a) Frequency distribution of  $\Delta$ SMC<sub>0-1m</sub>; (b) Spatial distribution of  $\Delta$ SMC<sub>0-1m</sub>. In panel (a), the median, 25<sup>th</sup> and 75<sup>th</sup> percentile of  $\Delta$ SMC<sub>0-1m</sub> are displayed in texts. Negative  $\Delta$ SMC<sub>0-1m</sub> is found in 52% of the plots.

doi:10.1371/journal.pone.0160776.g003



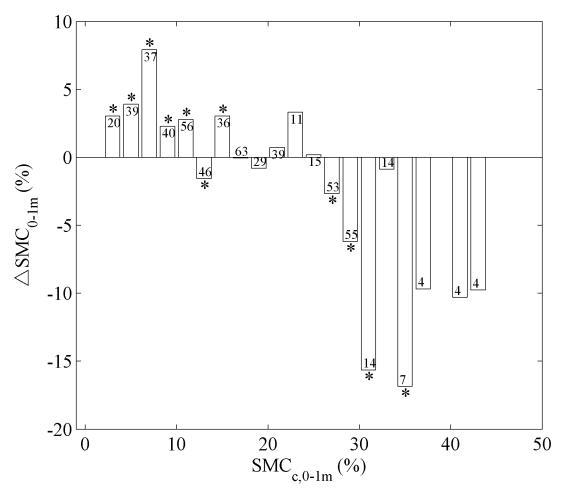


Fig 4. Relationships between the change of top 1-m soil moisture content ( $\Delta SMC_{0-1m}$ ) and the top 1-m soil moisture content in control plots ( $SMC_{c,0-1m}$ ).  $SMC_{c,0-1m}$  was divided into bins by every 2%  $SMC_{c,0-1m}$ . The numbers on top of the bars refer to the number of plots falling into each group. The asterisks (\*) indicate significantly (P < 0.05) positive or negative non-zero  $\Delta SMC_{0-1m}$  depended on the median of  $\Delta SMC_{0-1m}$ .

# Afforestation-induced change in SMC<sub>0-1m</sub> across different afforestation species

Fig 5a shows the SMC<sub>0-1m</sub> of control vs, afforested plots under different afforestation tree species. Significant decrease in SMC<sub>0-1m</sub> are found for *Populus* spp. plantations (-0.68%, P < 0.05), and plantations of *Pinus sylvestris* var. *mongolica* (-0.50%, P < 0.05). And a marginally significant decrease occurred under plantations of *Pinus koraiensis* (P = 0.09). For the remaining other species, the change in SMC<sub>0-1m</sub> is not significant (-0.35%—+1.66%, P > 0.1). For all species, significant decreases in SMC<sub>0-1m</sub> (negative ΔSMC<sub>0-1m</sub>) are found when SMC<sub>c,0-1m</sub> is high (S1 Fig), yet with species-specific threshold values of SMC<sub>c,0-1m</sub> when  $\Delta$ SMC<sub>0-1m</sub> turns from positive to negative. The largest threshold of SMC<sub>c,0-1m</sub> occurred in *Pinus koraiensis* plantations (around 26%) and the smallest one in *Populus* spp. (around 7%). The results suggest that *Pinus koraiensisi* plantations can reduce soil moisture content only in relatively wet regions, while *Populus* spp. plantations could lead to SMC<sub>0-1m</sub> decline even in very dry regions.

We then divided the afforested plots into two categories, i.e., plots below and above the species-specific threshold of  $SMC_{c,0-1m}$  (Fig 5b). For the plots below the threshold of  $SMC_{c,0-1m}$ , afforestation-induced increases in  $SMC_{0-1m}$  are statistically significant (P < 0.05, Fig 5c) for



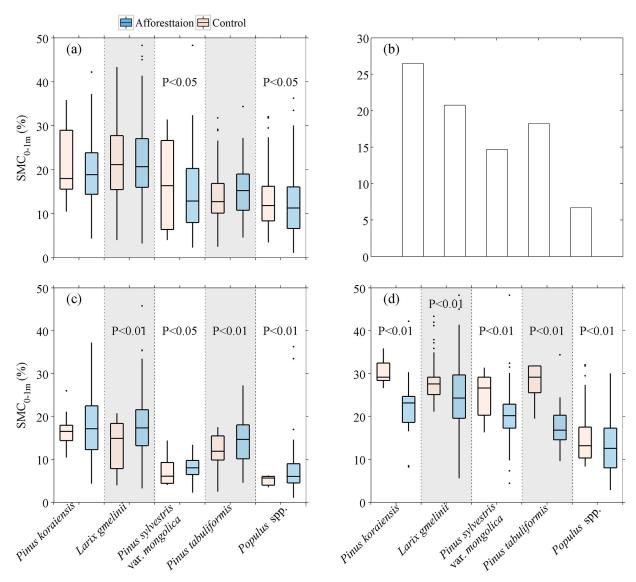


Fig 5. Soil moisture content of top 1-m ( $SMC_{0-1m}$ ) in control and afforested plots under different afforestation tree species. (a)  $SMC_{0-1m}$  in control and afforested plots. (b) The thresholds of top 1-m soil moisture content in control plots ( $SMC_{c,0-1m}$ ) when the change of top 1-m soil moisture content ( $\Delta SMC_{0-1m}$ ) turns from positive to negative under different tree species, (c)  $SMC_{0-1m}$  in control and afforested plots when  $SMC_{c,0-1m}$  are below the threshold, and (d) above the threshold. In panel (a) and (d), the text P < 0.05 and P < 0.01 denote significantly and extremely significantly decrease in  $SMC_{0-1m}$  in afforestation plots compared to control plots. In panel (c), the text P < 0.05 and P < 0.01 denote significantly and extremely significantly increase in  $SMC_{0-1m}$  in afforestation plots compared to control plots. In box plots, the whiskers and boxes indicate the minimum,  $25^{th}$  percentile, median,  $75^{th}$  percentile and maximum.

four of the five species, except for *Pinus koraiensis*. For plots with  $SMC_{c,0-1m}$  above the threshold, all five tree species show extremely significant negative  $\Delta SMC_{0-1m}$  (P < 0.01, Fig 5d).

# Vertical distribution of afforestation-induced change in SMC among five tree species

We further explore afforestation-induced changes in soil moisture content ( $\Delta$ SMC) along soil depths (Fig 6a). Soil moisture content generally decreases with soil depths (Fig 6a), which is



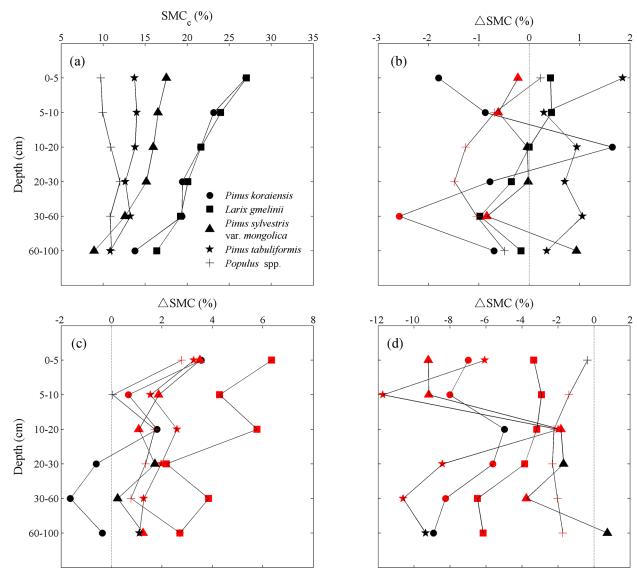


Fig 6. Vertical distribution of: (a) soil moisture content (SMC) in control plots, (b) the change of soil moisture content ( $\Delta$ SMC), (c)  $\Delta$ SMC when top 1-m soil moisture content in control plots (SMC<sub>c,0-1m</sub>) are below the threshold (threshold values are shown in Fig 5b), and (d) above the threshold under different tree species for six different soil depths (0–5 cm, 5–10 cm, 10–20 cm, 20–30 cm, 30–60 cm, 60–100 cm). In panel (b) and (d), the red points indicate a significant decline of SMC in afforestation plots compared to control plots (P < 0.05). In panel (c), the red points indicate a significant increase of SMC in afforestation plots compared to control plots.

consistent with early findings [23]. However,  $\Delta$ SMC does not show a consistent pattern along soil depth (Fig.6b). The largest magnitude of  $\Delta$ SMC occurred in 10–60 cm soils of *Pinus koraiensis*, namely plantations, ranging from 1.6% to -2.6%. Non-significant  $\Delta$ SMC are found in all soil layers of *Larix gmelinii* and *Pinus tabuliformis* plantations. Significant negative  $\Delta$ SMC are also found in top 30-cm soils under plantations of *Populus* spp. and *Pinus sylvestris* var. *mongolica*.

As we showed before, afforestation reduces soil moisture content in wet regions but may increases soil moisture in dry regions. Therefore, for each soil depth, we also identified the species-specific thresholds of  $SMC_{c,0-1m}$  (Fig 6c and 6d). As it turns out, when  $SMC_{c,0-1m}$  is below



the thresholds,  $\Delta$ SMC at most depth intervals are significantly positive for *Larix gmelinii*, *Pinus sylvestris* var. *mongolica*, *Populus* spp. and *Pinus tabuliformis*. The largest SMC increase occurs in *Larix gmelinii* (Fig 6c). On the contrary, when SMC<sub>c,0-1m</sub> is above the thresholds,  $\Delta$ SMC are negative over almost all soil depth intervals. The largest magnitude of  $\Delta$ SMC is found in the 5–20 cm soils of *Pinus tabuliformis* plantations (-11.7% – -2.0%, Fig 6d).

### **Discussion**

### Impact of tree species on the SMC change after afforestation

Afforestation has been implemented in large areas of arid and semi-arid regions as an effective carbon sequestration and land management tool. There has been a growing knowledge that afforestation can alter hydrological cycle through modifying soil moisture [12] and changing stream flows [16,31,32]. For example, Yang et al. [13] evaluated the hydrological effect of vegetation restoration in Loess Plateau and found that soil moisture content decrease drastically after vegetation restoration. Compared to herbaceous vegetation, forest plantation was found to alter soil moisture even more by increasing rainfall interception and evapotranspiration [33], probably due to their larger leaf area [34] and deeper roots [35]. Consistent with previous studies, our results suggest that afforestation in Northeastern China marginally significantly reduces SMC (-0.3%, P = 0.08), although changes in SMC are not uniform across plantations with different tree species. For example, *Populus* spp. and *Pinus sylvestris* var. *mongolica* showed statistically significant SMC decline, while SMC change was not statistically significant under other tree species, including *Pinus koraiensis*, *Larix gmelinii* and *Pinus tabuliformis*. Such species-dependent impact on soil moisture has rarely been explored before (but sees Zhao [36] for plantations at Gobi).

The difference in canopy evapotranspiration across tree species may largely explain this inter-species difference in afforestation impacts on soil moisture. Many studies have found that *Populus* spp. plantations have a larger evapotranspiration capacity than most other tree species. For example, in a study over Horqin, among two studied tree species, the evapotranspiration of *Populus* spp. (558.1mm) is more than 30% larger than *Pinus sylvestris* var. *mongolica* plantations (405.0mm) during the growing season [37]. In Loess Plateau, the daily transpiration rate of *Populus* spp. (0.616 mmol/m²/s) is nearly twice more than *Pinus tabuliformis* (0.318 mmol/m²/s) [38]. Therefore, it is not surprising that afforestation with *Populus* spp. reduces SMC more than that with other species. Few studies have investigated evapotranspiration capacity of dominant tree species for afforestation in Northeastern China. Such information is required for comprehensive assessment of the ecosystem impacts by those afforestation projects.

Plant structural traits are also associated with regulation of transpiration [39], like canopy characteristics and root systems. Since tree height is a continuous variable, we fit a linear mixed model using tree species, tree height and their interaction as predictive terms to test whether canopy heights can influence the SMC change. As it turns out, tree height impacts on  $\Delta$ SMC was not statistically significant (P = 0.40). Therefore, tree height differences may not be the main factor driving  $\Delta$ SMC variations found in our study. We also appreciate other hypothesis concerning other forest traits, such as root depth. 98% roots of *Pinus sylvestris* var. *mongolica* distributed in 1.0m depth [40], while *Populus* spp. roots can extend to 2m [41], which leading to larger root distribution discrepancy between plantation and control plots. Therefore, *Populus* spp. may maintain their transpiration even water deficit appeared in shallow soil depth. Besides, *Populus* spp. has larger leaf area than *Pinus sylvestris* var. *mongolica* [42]. Thus higher canopy transpiration of *Populus* spp. would result in more soil moisture loss. However, due to data limitation, we cannot explore them quantitatively in this study.



The variation in growth rate across species may be another possible reason for the inter-species difference in the afforestation-caused SMC decrease [43]. Fast-growing species demands more water for its carbon assimilation [44], and would lead to a higher overall water use per rotation period [31]. For example, *Populus* spp. trees grows faster than other tree species in this study and also require more water during their life cycles [45]. This high water demand by fast-growing *Populus* spp. trees could create a larger difference in soil moisture content between pre-afforested and afforested plots than most other species. In fact, the different water consumption among tree species has already attracted attentions in afforestation species selection under different environment [25,46]. Given the high life-cycle water demand of *Populus* spp., currently extensive planting of *Populus* spp. in arid and semi-arid regions over Northeastern China may need to be reconsidered, and large-scale *Populus* spp. plantations would need to be assessed for their impacts on local water resources.

### Dependence of afforestation impacts on SMC in control plots

Soil moisture content of pre-afforested control plots can serve as the baseline SMC level of a region. Our results suggest that in addition to inter-species difference in afforestation's impacts on soil moisture, baseline SMC also plays a critical role in determining the direction and amplitude of  $\Delta SMC$  caused by afforestation. Significant soil moisture decrease (negative  $\Delta SMC_{0-1m}$ ) is found when the baseline soil moisture (SMC\_c,0-1m) is larger than 25% (Fig 4). In wet places with higher water availability, trees tend to grow faster than in drier areas [47], which in return consumes more soil water [48]. Indeed, when we compared tree heights for plots with soil moisture above and below the threshold of SMC\_c,0-1m, three of the five species show at least significant (P < 0.05) higher trees in plots with higher SMC\_c,0-1m (S2 Fig), supporting the hypothesis that higher soil moisture promotes tree growth and in turn leads to more depletion of soil moisture.

On the other hand, plants also develop physiological and morphological approaches in adaptation to drought. For instance, plants may respond to drought stress by closing their stomata [49], leading to reduced water consumption from the soil and slow-growing "small aged trees" in dry areas [50–51]. Also, it is worth to note that afforestation may even increase SMC in some arid areas when SMC<sub>c,0-1m</sub> is between 2% and 24% (Fig 4). This positive change in SMC by afforestation in dry areas may be explained by irrigation and/or precipitation feedbacks. For example, irrigation has been widely employed for most plantation programs located in arid and semi-arid areas [22,52], which can offset or even exceed soil moisture deficit caused by increased canopy evapotranspiration. Also in those water-limited areas, there is a precipitation feedback whereby increased evapotranspiration tends to favor more precipitation [53–54]. It is suggested that even in those dry regions, evapotranspiration of forested lands is still greater than that of non-forested lands [55]. The water vapor from forested lands may modify regional rainfall patterns and trigger more precipitation, and thus increase soil moisture content.

The hypotheses of irrigation and precipitation feedbacks proposed here are currently difficult to test because of the lack of data for the spatial extent of irrigation practice and the complexity in modeling regional precipitation feedback to afforestation. However, our results highlight that complicated influences of afforestation on local hydrological cycle. Afforestation programs often face the challenge of the competing demands between water resources and carbon sequestration, which requires careful selection of tree species and delicately balancing both objects. Current understanding on how to satisfy both water and carbon goals in afforestation programs is still limited. Compared with pair-wise catchment study (e.g. Huang et al [56]), our paired-plot sampling approach is subject to more sources of uncertainties. In particular, although the pair-sampling is always taken at the same day, the magnitude of the soil moisture



difference between control-plots and afforestation-plots may be affected by seasonal variations of climate. However, this limitation did not affect our qualitative inference that afforestation has led to marginally significant change on regional hydrological cycle. Improving Earth system modeling and more soil moisture data with continuous monitoring should become the research priorities in future in order to better quantify the afforestation impacts.

# **Supporting Information**

S1 Fig. Relationships between change of top 1m soil moisture content ( $\Delta SMC_{0-1m}$ ) and top 1m soil moisture content in control plots ( $SMC_{c,0-1m}$ ) for five tree species. (a) *Pinus koraiensis*, (b) *Larix gmelinii*, (c) *Pinus sylvestris* var. *mongolica*, (d) *Pinus tabuliformis*, (e) *Populus* spp..  $SMC_{c,0-1m}$  was divided into 25 bins. The numbers in the top right are the total number of afforested plots within plantations of specific tree species. The asterisks (\*) indicate significantly (P < 0.05) positive or negative non-zero  $\Delta SMC_{0-1m}$  depended on the median of  $\Delta SMC_{0-1m}$ . (TIF)

S2 Fig. Tree height differences between plots that top 1m soil moisture content in control plots (SMC<sub>c,0-1m</sub>) are above and below the threshold for five tree species. Error bars show confidence interval of tree height difference. The asterisks (\*) denote that tree height differences between plots that SMC<sub>c,0-1m</sub> are above and below the threshold are significantly higher than zero, \* P < 0.05, \*\* P < 0.01. (TIF)

**S1 Table. Sample sites information in our experiment.** The longitude, latitude and name of forest farms are provided as below. (DOCX)

S2 Table. Sample data in our experiment. The mean and standard deviation (std) of change in top 1-m soil moisture content ( $\Delta SMC_{0-1m}$ ) in each site. (DOCX)

### **Author Contributions**

Conceptualization: S. Piao.

Data curation: YY.

Formal analysis: YY.

Funding acquisition: S. Piao.

Investigation: YY XW ZZZ YL ZCZ.

Methodology: S. Piao.

Project administration: S. Piao.

Resources: S. Piao.

Software: YY.

Supervision: S. Piao.

Validation: YY.

Visualization: YY.



Writing - original draft: YY.

Writing - review & editing: YY XW ZZZ YL S. Peng ZCZ S. Piao.

#### References

- Baral A, Guha GS. Trees for carbon sequestration or fossil fuel substitution: the issue of cost vs. carbon benefit. Biomass and Bioenergy. 2004; 27: 41–55.
- Jackson RB, Randerson JT, Canadell JG, Anderson RG, Avissar R, Baldocchi DD, et al. Protecting climate with forests. Environmental Research Letters. 2008; 3: 044006, doi: <a href="https://doi.org/10.1088/1748-9326/3/4/044006">https://doi.org/10.1088/1748-9326/3/4/044006</a>
- Piao SL, Fang JY, Ciais P, Peylin P, Huang Y, Sitch S, et al. The carbon balance of terrestrial ecosystems in China. Nature. 2009; 458: 1009–1013. doi: 10.1038/nature07944 PMID: 19396142
- Figueroa JD, Fout T, Plasynski S, McIlvried H, Srivastava RD. Advances in CO₂ capture technology the US Department of Energy's Carbon Sequestration Program. International Journal of Greenhouse Gas Control. 2008; 2: 9–20.
- Nosetto MD, Jobbágy EG, Paruelo JM. Carbon sequestration in semi-arid rangelands: comparison of Pinus ponderosa plantations and grazing exclusion in NW Patagonia. Journal of Arid Environments. 2006: 67: 142–156.
- Liu JG, Li SX, Ouyang ZY, Tam C, Chen XD. Ecological and socioeconomic effects of China's policies for ecosystem services. Proceedings of the National academy of Sciences 2008; 105: 9477–9482.
- 7. Payn T, Carnus JM, Freer-Smith P, Kimberley M, Kollert W, Liu SR, et al. Changes in planted forests and future global implications. Forest Ecology and Management. 2015; 352: 57–67.
- Fang JY, Chen AP, Peng CH, Zhao SQ, Ci LJ. Changes in forest biomass carbon storage in China between 1949 and 1998. Science. 2001; 292: 2320–2322. PMID: 11423660
- State Forestry Administration of the People's Republic of China. Seventh National Forest Resource Inventory Report (2004–2008). State Forestry Administration of the People's Republic of China, Beijing, China; 2009.
- Chen HS, Shao MA, Li YY. Soil desiccation in the Loess Plateau of China. Geoderma. 2008; 143: 91– 100.
- Jiao JY, Zhang ZG, Bai WJ, Jia YF, Wang N. Assessing the ecological success of restoration by afforestation on the Chinese Loess Plateau. Restoration Ecology. 2012; 20: 240–249.
- Nosetto MD, Jobbágy EG, Brizuela AB, Jackson RB. The hydrologic consequences of land cover change in central Argentina. Agriculture Ecosystems and Environment. 2012; 154: 2–11.
- 13. Yang L, Wei W, Chen LD, Chen WL, Wang JL. Response of temporal variation of soil moisture to vegetation restoration in semi-arid Loess Plateau, China. Catena. 2014; 115: 123–133.
- Jackson RB, Farley KA, Hoffmann WA, Jobbágy EG, McCulley RL. Carbon and water tradeoffs in conversions to forests and shrublands, Terrestrial Ecosystems in a Changing World. Springer; 2007.
- 15. Piao SL, Friedlingstein P, Ciais P, de Noblet-Ducoudré N, Labat D, Zaehle S. Changes in climate and land use have a larger direct impact than rising CO<sub>2</sub> on global river runoff trends. Proceedings of the National academy of Sciences. 2007; 104: 15242–15247.
- Jackson RB, Jobbágy EG, Avissar R, Roy SB, Barrett DJ, Cook CW, et al. Trading water for carbon with biological carbon sequestration. Science. 2005; 310: 1944–1947. PMID: 16373572
- Lü YH, Fu BJ, Feng XM, Zeng Y, Liu Y, Chang RY, et al. A policy-driven large scale ecological restoration: quantifying ecosystem services changes in the Loess Plateau of China. PLoS ONE. 2012; 7: e31782, doi: 10.1371/journal.pone.0031782 PMID: 22359628
- Jin TT, Fu BJ, Liu GH, Wang Z. Hydrologic feasibility of artificial forestation in the semi-arid Loess Plateau of China. Hydrology and Earth System Sciences. 2011; 15: 2519.
- Legates DR, Mahmood R, Levia DF, DeLiberty TL, Quiring SM, Houser C, et al. Soil moisture: A central
  and unifying theme in physical geography. Progress in Physical Geography. 2011; 35: 65–86.
- van Dijk AlJM, Keenan RJ. Planted forests and water in perspective. Forest Ecology and Management. 2007; 251: 1–9.
- 21. Yang L, Wei W, Chen LD, Mo BR. Response of deep soil moisture to land use and afforestation in the semi-arid Loess Plateau, China. Journal of Hydrology. 2012; 475: 111–122.
- 22. Xi BY, Wang Y, Jia LM, Bloomberg M, Li GD, Di N. Characteristics of fine root system and water uptake in a triploid Populus tomentosa plantation in the North China Plain: implications for irrigation water management. Agricultural Water Management. 2013; 117: 83–92.



- Chen LD, Huang ZL, Gong J, Fu BJ, Huang YL. The effect of land cover/vegetation on soil water dynamic in the hilly area of the loess plateau, China. Catena. 2007; 70: 200–208.
- 24. Mu XM, Zhang L, McVicar TR, Chille B, Gau P. Analysis of the impact of conservation measures on stream flow regime in catchments of the Loess Plateau, China. Hydrological Processes. 2007; 21: 2124–2134.
- 25. Zhang XP, Zhang L, Zhao J, Rustomji P, Hairsine P. Responses of streamflow to changes in climate and land use/cover in the Loess Plateau, China. Water Resources Research. 2008; 44, 10.
- Sun G, Zhou GY, Zhang ZQ, Wei XH, McNulty SG, Vose JM. Potential water yield reduction due to forestation across China. Journal of Hydrology. 2006; 328: 548–558.
- Yang H. Land conservation campaign in China: integrated management, local participation and food supply option. Geoforum. 2004; 35: 507–518.
- 28. Editorial Board of Vegetation Map of China, Chinese Academy of Sciences. Vegetation Map of the People's Republic of China (1:1000000) (Digital version). Geology Press, Beijing, China; 2007.
- Jarque CM, Bera AK. A test for normality of observations and regression residuals. International Statistical Review/Revue Internationale de Statistique. 1987; 55: 163–172.
- Brown MB, Forsythe AB. Robust tests for the equality of variances. Journal of the American Statistical Association. 1974; 69: 364–367.
- **31.** Farley KA, Jobbágy EG, Jackson RB. Effects of afforestation on water yield: a global synthesis with implications for policy. Global Change Biology. 2005; 11: 1565–1576.
- Li S, Xu M, Sun B. Long-term hydrological response to reforestation in a large watershed in southeastern China. Hydrological Processes. 2014; 28: 5573–5582.
- Brown AE, Zhang L, McMahon TA, Western AW, Vertessy RA. A review of paired catchment studies for determining changes in water yield resulting from alterations in vegetation. Journal of Hydrology. 2005; 310: 28–61.
- Myneni RB, Hoffman S, Knyazikhin Y, Privette JL, Glassy J, Tian Y, et al. Global products of vegetation leaf area and fraction absorbed PAR from year one of MODIS data. Remote Sensing of Environment. 2002: 83: 214–231.
- Jackson RB, Schenk HJ, Jobbágy EG, Canadell J, Colello GD, Dickinson RE, et al. Belowground consequences of vegetation change and their treatment in models. Ecological Applications. 2000; 10: 470–483.
- Zhao WZ. Impact of plantation on spatial heterogeneity of soil moisture in Horqin sandy land. Acta Pedologica Sinica. 2001; 39: 113–119.
- 37. Zheng X, Zhu JJ, Yan QL, Song LN. Effects of land use changes on the groundwater table and the decline of *Pinus sylvestris* var. *mongolica* plantations in southern Horqin Sandy Land, Northeast China. Agricultural Water Management. 2012; 109: 94–106.
- **38.** Zhou XX, Zhang JJ, Li YT. Transpiration characteristics of major tree species for soil and water conservation in Loess Plateau. Science of Soil and Water Conservation. 2009; 4: 009.
- **39.** Gazal RM, Scott RL, Goodrich DC, Williams DG. Controls on transpiration in a semiarid riparian cottonwood forest. Agricultural and Forest Meteorology. 2006; 137: 56–67.
- **40.** Song L, Zhu J, Li M, Zhang J, Lv L. Sources of water used by Pinus sylvestris var. mongolica trees based on stable isotope measurements in a semiarid sandy region of Northeast China. Agricultural water management. 2016; 164: 281–290.
- 41. Mulia R, Dupraz C. Unusual fine root distributions of two deciduous tree species in southern France: What consequences for modelling of tree root dynamics? Plant and Soil. 2006; 281: 71–85.
- Jarvis PG, Leverenz JW. Productivity of temperate, deciduous and evergreen forests. In: Physiological plant ecology IV. Springer Berlin Heidelberg. 1983. pp. 233–280.
- **43.** Bosch JM, Hewlett JD. A review of catchment experiments to determine the effect of vegetation changes on water yield and evapotranspiration. Journal of Hydrology. 1982; 55: 3–23.
- Fang SZ, Xue JH, Tang LZ. Biomass production and carbon sequestration potential in poplar plantations with different management patterns. Journal of Environmental Management. 2007; 85: 672–679. PMID: 17110018
- Yang JW, Liang ZS, Han RL, Wang PZ. Water use efficiency characteristics of four tree species under different soil water conditions in the Loess Plateau. Acta Ecologica Sinica. 2006; 26: 558–565.
- 46. Wang S, Fu BJ, Gao GY, Yao XL, Zhou J. Soil moisture and evapotranspiration of different land cover types in the Loess Plateau, China. Hydrology and Earth System Sciences. 2012; 16: 2883–2892.
- Zhang X, Wu N, Li C. Physiological and growth responses of Populus davidiana ecotypes to different soil water contents. Journal of Arid Environments. 2005; 60: 567–579.



- **48.** Kim Y, Wang GL. Impact of vegetation feedback on the response of precipitation to antecedent soil moisture anomalies over North America. Journal of Hydrometeorology. 2007; 8: 534–550.
- **49.** Bréda N, Huc R, Granier A, Dreyer E. Temperate forest trees and stands under severe drought: a review of ecophysiological responses, adaptation processes and long-term consequences. Annals of Forest Science. 2006; 63: 625–644.
- Chen LD, Wei W, Fu BJ, Lü YH. Soil and water conservation on the Loess Plateau in China: review and perspective. Progress in Physical Geography. 2007; 31: 389–403.
- 51. Rennenberg H, Loreto F, Polle A, Brilli F, Fares S, Beniwal RS, et al. Physiological responses of forest trees to heat and drought. Plant Biology. 2006; 8: 556–571. PMID: 16773557
- **52.** Xu XY, Tong L, Li FS, Kang SZ, Qu YP. Sap flow of irrigated Populus alba var. pyramidalis and its relationship with environmental factors and leaf area index in an arid region of Northwest China. Journal of Forest Research. 2011; 16: 144–152.
- **53.** Scheffer M, Holmgren M, Brovkin V, Claussen M. Synergy between small-and large-scale feedbacks of vegetation on the water cycle. Global Change Biology. 2005; 11: 1003–1012.
- Spracklen DV, Arnold SR, Taylor CM. Observations of increased tropical rainfall preceded by air passage over forests. Nature. 2012; 489: 282–285. doi: 10.1038/nature11390 PMID: 22951966
- Zhang L, Dawes WR, Walker GR. Response of mean annual evapotranspiration to vegetation changes at catchment scale. Water Resources Research. 2001; 37: 701–708.
- Huang M, Zhang L, Gallichand J. Runoff responses to afforestation in a watershed of the Loess Plateau, China. Hydrological Processes. 2003; 17: 2599–2609.