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RESEARCH ARTICLE

Climate Change and Drought Events in the Geochemical Records of the Lacustrine Deposits in the Southeastern Tibetan Plateau

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Abstract

Lacustrine deposits at the margin of the southeastern Tibetan Plateau (SETP) are sensitive indicators for the evolution of the southwest Asian monsoon (SWAM) during the Quaternary. Thus, they can provide insight into the Quaternary climatic history and their relationship with global climatic changes. The results of the geochemical analysis of the Xiaozhongdian Basin section at the SETP suggest that SiO₂ had the highest content of the major elements followed by Al₂O₃. The order of the abundance of the major elements was generally as follows: SiO₂>Al₂O₃>Fe₂O₃>CaO>MgO>K₂O>TiO₂>Na₂O>MnO₂. The geochemical proxies, such as chemical index of alteration (CIA), the index of compositional variability (ICV) and (CaO+K₂O+Na₂O)/Al₂O₃, indicate the weak chemical weathering and the aridification of the margin of the SETP during the Heinrich events. In addition, the aridification of the SETP during the Heinrich events may be closely related to the cold signals transmitted from the high latitudes of the North Atlantic to the TP, and the effect caused the cooling effect to be very strong on the TP as a result of the upper-level westerly jet stream and then reduced the suction action associated with the SWAM, thus accelerating the drying rate of Xiaozhongdian Basin, which was amplifying the degree of drought in Heinrich events.

Introduction

Geochemical elements are useful indicators of chemical weathering, geological processes and tectonic settings of sedimentary catchments. They can also be used to reconstruct the catchment paleoclimatic and environmental changes [1–3]. Studying the chemical proxies of elements in lacustrine sediments allows us to understand the close interdependence of the Asian monsoon evolution and the global climate changes [4–11]. The Tibetan Plateau (TP) has always been the object of intense climate research [12, 13]. Previous research suggests that the TP is one of the regulators of global climate [14, 15]. The Xiaozhongdian Basin (XB) is located at the margin of the southeastern TP (SETP), the climate is obviously controlled by the Asian



southwest monsoon and local climatic influences of the Qinghai-Tibet Plateau. Recent studies have found that historical records and reconstructions showed that variability in summer monsoon precipitation led to droughts [16–18]. And three Quaternary lacustrine deposition cycles and five sand layers have been identified in the XB [19]. Therefore, it is important to provide high-resolution and typically abundant environmental and climatic information to study the impact of the TP on the climatic events of the southwest Asian monsoon (SWAM) region, and it is critical to be able to evaluate the influence of global change on regional climate.

We aim to establish the geochemical weathering processes in the XB and evaluate the coupling mechanism between the Dansgaard-Oescher records of the XB and the TP based on the high-resolution geochemical proxy analysis of XB lacustrine deposits.

Study Area

The XB is located in the Hengduan Mountains of the SETP and approximately 80 km northwest of Yulong Mountain (Fig 1). The XB is a Cenozoic faulted depression and it is controlled by the SWAM [20, 21]. Many cycles of lacustrine deposition have occurred in the XB and the total thickness of the deposits in the basin is approximately 100 m over an area of 400 km². The extremely thick lacustrine deposits in the basin have recorded the regional environmental and climatic changes over time; therefore, the XB is an ideal area for studying the evolution of the southwest monsoon. The mean annual temperature for the basin based on data recorded at regional weather stations is approximately 5.8°C and the mean annual precipitation is approximately 850 mm, with more than 85% of the precipitation occurring between June and September [22].

Sampling and Methods

Stratigraphy and sampling

A 15.3-m section along the Jinsha River (27°36′54″N, 99°45′45″E, ~3300 m asl) was excavated in the XB to obtain samples (Fig 1). The top of the section is developed recent soil with massive texture, aggregated structure and abundant plant roots from a depth of 0 to 0.4 m. The section is light grayish green in color and it is mainly composed of silty clay. There are five coarse silt layers in the middle of the section, with thicknesses of 1.7–2.2 m, 3.2–3.5 m, 7–7.2 m, 11.8–12.2 m and 14.2–14.3 m, respectively. The layer between 14.7 and 15.2 m is black clay with enriched carbon. A total of 62 samples were collected from the section at depth intervals of 25 cm for analysis of the geochemical elements. No specific permissions are required for our study locations and sampling activities.

Analytical methods

The carbonates in the samples were removed from the samples using 1 mol/L HCl before the analysis and were repeated twice. All subsamples consisting of 4 g of each sample (<75 μm) were pressurized with boric acid to 30 t/m² for 20 s. The elemental analysis of the samples was performed using a Philips PW2403 X-ray fluorescence (XRF) spectroscope. The analyzed oxide compounds are identified in elemental form in the Results and Discussion section below. Repeated analyses were performed for every 10 samples to verify the reliability and accuracy of the analytical data. The method has been used to the analysis of standard samples (lake sediment of the national soil standard reference material, GSS-9) with relative standard deviation (RSD) less than 2%.



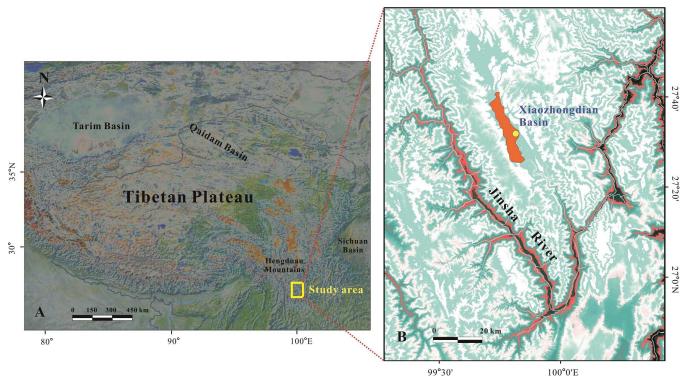


Fig 1. The location and digital elevation model of the Xiaozhongdian Basin lacustrine deposits (the data come from http://srtm.csi.cgiar.org for open-source. The figure is similar but not identical to the original image of SRTM, and is therefore for illustrative purposes only.)

Seven plant macrofossil samples were collected from the organic-rich horizons of the sediment, and were used to perform ¹⁴C dating. The ¹⁴C dates were calibrated to calendar years by the latest calibration program [23]. The XRF analyses and ¹⁴C dating were performed at the Key Laboratory of Western China's Environmental System of Ministry of Education in Lanzhou University.

Results and Discussion

Age model and chronology

The measured dates of the study section are presented in <u>Table 1</u>. The ages and the records of the environmental proxies indicate there was continuous sedimentation that extended back to \sim 42.6 cal ka BP based on the Bayesian model of age-depth (Fig 2). The sedimentation rate in the section is approximately and 0.385 and 0.461 mm/a. The estimated sedimentation rate

Table 1. Radiocarbon dating of the lake sediment of Xiaozhongdian Basin and the age model.

Depth (m)	Dating material	AMS ¹⁴ C age (¹⁴ C yr BP)	Calibrated ¹⁴ C (2σ, cal a BP)
1.7	Plant remains	9390–9650	10503–11203
3.9	Plant remains	14505–14915	17425–18421
5.2	Plant remains	16420–16980	19573–20343
7.5	Plant remains	19880–20580	23673–24617
9.4	Plant remains	23380–24370	28215–29360
12.2	Plant remains	25560–26820	30464–31562
14.7	Plant remains	30250-33970	38215–41993

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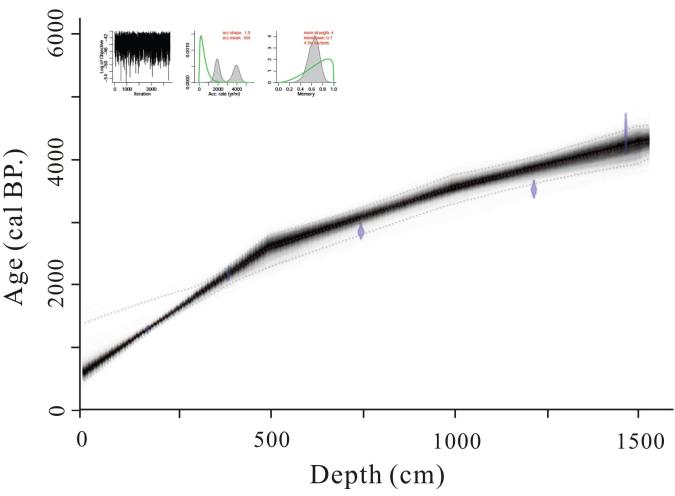


Fig 2. The Bayesian age-depth model of the Xiaozhongdian Basin section.

corresponds with other geochronology in Yunnan Province [24, 25]. The characterties of chemical weathering and climate change in the layer (1.75–0 m) of XB section were not included in the following analyses because it has the only ¹⁴C data around the Holocene.

Geochemical element characteristics

The compositions of SiO₂, Al₂O₃, Fe₂O₃, CaO, MgO, K₂O, TiO₂, Na₂O and MnO₂ are shown in Fig 3. The total oxide content of the samples ranges from 90.56% to 93.15%, with an average value of 91.76%. SiO₂ has the highest content of all the analyzed major elements and ranges from 53.51% to 65.40%, with an average value of 57.23%, followed by Al₂O₃, with an average content of 14.09%. The regularity of the abundance of oxide compounds in the XB section was as follows: SiO₂>Al₂O₃>Fe₂O₃>CaO>MgO>K₂O>TiO₂>Na₂O>MnO₂. In addition, the content of each element indicated substantial fluctuations for the five sand layers, especially the element Ti, which is generally recognized as being mainly derived from the lake sediments.

Geochemical proxies and paleoclimatic significance

The characteristics of the geochemical proxies not only indicate the degree of chemical weathering in the lake catchment, but can also indicate the conditions of climate evolution and the



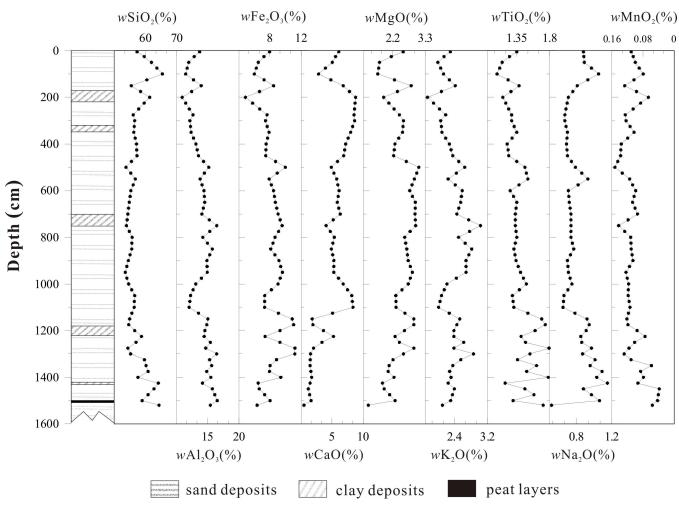


Fig 3. Variation of oxide and element contents in the Xiaozhongdian Basin section.

changes in the depositional environment [26–28]. Therefore, the geochemical elements of lacustrine deposits can be used to study climate change [29, 30]. The values of $(CaO+K_2O+Na_2O)/Al_2O_3$, the index of mineral chemical differentiation (ICV) and the chemical weathering index (CIA) can represent paleoclimatic proxies of the chemical weathering intensity and effective moisture [31, 32].

The chemical index of alteration (CIA): The CIA is one of the measures indexes for studying the intensity of chemical weathering [33-35]:

$$CIA = Al_2O_3/(Al_2O_3 + K_2O + Na_2O + CaO^*) \times 100$$
 (1)

where the oxide amounts are expressed in moles, and CaO* is the amount of CaO in silicates [36–38]. The effect of carbonate minerals has been ruled out in CIA, which mainly reflects the weathering intensity of silicate minerals, so it can well reflect the chemical weathering of the source area. Previous studies have shown that the warm-humid climate and environment would cause to increase the chemical weathering and further analysize the climate of lake catchment by using CIA [29]. The chemical weathering proxies (e.g. CIA, ICV) have been applied to the study of the climate of lake catchment [30–32]. In general, the warm-humid climate and environment would cause to increase the chemical weathering, and CIA values



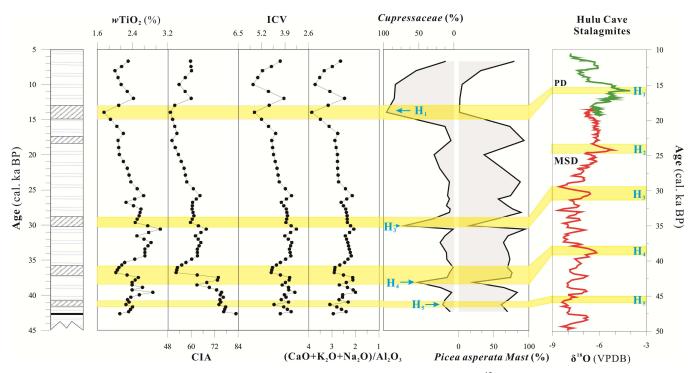


Fig 4. The characteristics of geochemical proxie, sporopollen in the Xiaozhongdian Basin and δ^{18} O of Hulu Cave stalagmites [39] (the abundance data for *Cupressaceae* and *Picea asperata Mast* is from [19]).

ranging from 70 to 85 indicate the intense chemical weathering and a warm and humid climate. The main source of the lacustrine sediments in Xiaozhongdian paleolake mainly came from the Basin, and it was relatively simple [20]. Therefore, CIA can be seen as the proxy to study the chemical weathering, climatic and environmental change of lake catchment in XB. The CIA of the XB section ranged from 49.37 to 82.90, the major distribution range between 50 and 70, which is the same as the range of CIA values for basalt and average shale throughout the world (Figs 4 and 5), showed that the climatic conditions were weak chemical weathering,

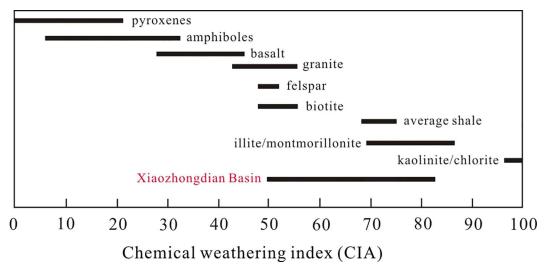


Fig 5. Comparison of CIA for Xiaozhongdian Basin sediments with CIAs for rock and minerals (Based on [33, 34]).

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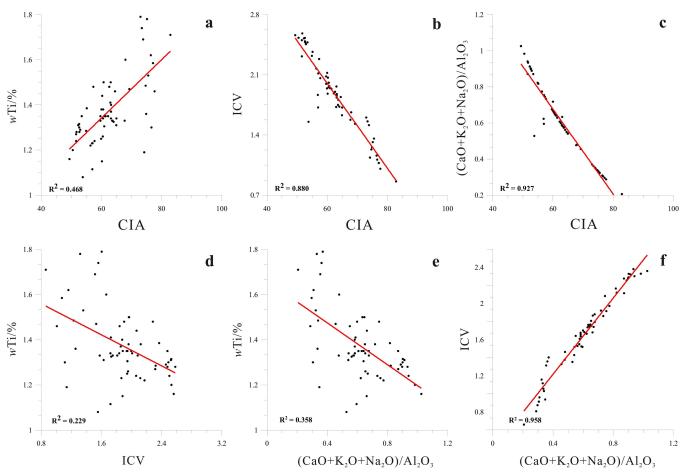


Fig 6. The correlation among the TiO₂ contents, CIA, ICV and (CaO+K₂O+Na₂O)/AI₂O₃.

and it also indicated the relatively the cold-dry climate, except for the period of 42.6–36.8 cal ka BP (15.2–11.5 m). The CIA varies from 49.4 to 67.7 for the time period of 37.4–11.0 cal ka BP (11.5–1.25 m), with an average value of 58.6, which slightly exceeds the CIA value for feld-spar, it indicated the weak chemical weathering and dry climate of the lake catchment. The results of correlation analyses of the proxies indicated a good correlation between the CIA and the content of the element Ti, with $R^2 = 0.468$ (P < 0.01, Fig 6A).

The index of compositional variability (ICV): Elements preferentially leach and migrate under the warm and humid climate conditions. However, it is difficult for the element Al to migrate during the chemical weathering. Therefore, the ICV can be used to study the proportion of active components in lacustrine deposits. The ICV is expressed as [40]:

$$ICV = (Fe_2O_3 + K_2O + Na_2O + CaO + MgO + MnO + TiO_2)/Al_2O_3$$
 (2)

The different minerals have different ICV intervals, the ICV of non-clay minerals is higher than that of clay minerals, and the ICV of pyroxene is between 10 and 100. The ICV values for amphibole, K feldspar, plagioclase, and illite/muscovite are 8, 1, 0.6 and 0.3, respectively, and the range of CIV values for montmorillonite and kaolinite are only 0.15–0.3 and 0.03–0.05, respectively. The high ICV value indicates the intensity of chemical weathering, and reflects the optimal hydrothermal quality for the climatic conditions of the XB [41]. The ICV of the



XB ranges from 0.86 to 2.58, with an average of 1.87 (Fig 4), and it is substantially higher than the ICV values of feldspar and clay minerals. The ICV values of XB show an increasing trend from the bottom to the top of the section. The results of the correlation analyses of the proxies indicated good correlations between ICV and Ti and between ICV and CIA, with $R^2 = 0.229$ and 0.880, respectively (P<0.01, Fig 6B and 6D). The good correlations indicate the weak chemical weathering and the poor hydrothermal quality of the climatic conditions of the XB at the margin of the SETP.

(CaO+ K_2O+Na_2O)/Al₂O₃: The geochemical behavior of active chemical elements, such as Na, Ca, K, has been affected by climate change during hypergenesis [42]. Aluminum silicate is often changed into clay minerals (e.g. illite, montmorillonite and kaolinite) as a result of chemical weathering. In extremely hot and humid climatic conditions, the clay minerals are decomposed and form bauxite. Therefore, the value of $(CaO+K_2O+Na_2O)/Al_2O_3$ in the paleolake in the XB reflect the relationship between the active and inert components and record the change in the climatic conditions. A high value of $(CaO+K_2O+Na_2O)/Al_2O_3$ for the lake sediment demonstrate the weak weathering and the low effective moisture dominating the environment and climate. The $(CaO+K_2O+Na_2O)/Al_2O_3$ for most of the samples indicates a range from 0.21 to 1.03, with an average value of 0.62 (Fig 4). There are good correlations between (CaO + $K_2O+Na_2O)/Al_2O_3$ and Ti, $(CaO+K_2O+Na_2O)/Al_2O_3$ and CIA, and $(CaO+K_2O+Na_2O)/Al_2O_3$ and ICV (P<0.01, Fig 6C, 6E and 6F). The good correlations demonstrate the weak leaching movement of elements in the section, the low effective moisture and the dry climate of the XB in the Heinrich (H) events.

Climate evolution and driving mechanism in the SETP

The chemical weathering process and the history of environmental change of the XB between 42.6 and 11 ka cal. BP were reconstructed based on the geochemical characteristics and other climate proxies combined with the regional research [22, 43]. The coarse silt layers in the middle of the section records the multiple extreme climate events of XB, and eventually lead to the disappearance of Xiaozhongdian paleolake. The records of Heinrich events in the lacustrine deposits are particularly noticeable in the section. Previous studies have shown that the records of Heinrich events were difference in the world. The records of Heinrich events were represented as drought in the Bay of Bengal region and India [44, 45]. In the East Asian monsoon region, it appeared as cold and dry [46-49]. However, it indicated the humid climate in South American and the Australian [50, 51]. At the same time, the latest research shows that the signal of stalagmite isotope in China has reflected the dry/wet process under the controlling of monsoon climate [52]. Fig 4 compares the distribution patterns between the geochemical records of the lacustrine deposits and δ^{18} O of Hulu Cave stalagmite [39]. By comparison, Heinrich events were recorded relatively well in the geochemical and sporopollen records of XB and δ¹⁸O of Hulu Cave stalagmite, except H₂ event. The records of H₁, H₃, H₄ events are relatively more remarkable, and that of H₅ less obvious. In general, the instabilities of the climatic characteristics of the SWAM are mainly affected by the interaction among ice sheets, the ocean and the atmosphere and the climate signals of high latitudes passing to low latitudes through thermohaline circulation [53]. The TP can be regarded as a regulator that enlarged or reduced the signals during the H events in the SETP [54]. During the H events, the cold signals were transmitted from the high latitudes of the North Atlantic to the TP. In turn, this effect caused the cooling effect to be very strong on the TP as a result of the upper-level westerly jet stream and then reduced the suction action associated with the SWAM [55], thus accelerated the drying rate of Xiaozhongdian Basin, which was amplifying the degree of drought in Heinrich events.



Conclusions

The geochemical characteristics and their parameters for the Xiaozhongdian Basin lacustrine deposits recorded the millennial scale history of the SWAM evolution and a series of the drought events corresponding to the Heinrich events.

By comparing research conducted in the study region and other regions, the TP can be considered a climate regulator that enlarged or reduced the signals associated with the suction action during the H and D/O events. The forms of the climatic events in the various regions of China are related to the effect of the suction action of the TP. The record of the Heinrich events of the SETP indicated more substantial drought events. The warmer climate further increased the evaporation of the study area, which was amplifying the degree of drought. However, the regional records for other regions of China have indicated rapid cooling because of the less pronounced effect by the TP. It would be beneficial to explore the regional climate characteristics and their relationships with the global patterns.

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Funding acquisition: WZ QM.

Investigation: QM ZS.

Methodology: WZ QM HS.

Software: WZ JN.

Writing - original draft: WZ HS.

Writing - review & editing: WZ QM JN.

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